2025 Annual Report to the Higher Education Committee

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University of New Haven

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2025 Annual Report to the Joint Standing Committee regarding CT Public Act 14-11

This report presents information about sexual harassment & discrimination policies, grievance procedures, and institutional conduct reports at the University of New Haven. This report is intended to comply with the regulations of Connecticut Public Act 14-11, *An Act Concerning Sexual Assault, Stalking, and Intimate Partner Violence on Campus*, as well as to inform the Joint Standing Committee of the General Assembly of the efforts the University has taken to combat sexual violence on its campus.

Sexual Harassment & Misconduct Policy

The University of New Haven's Sexual Harassment & Misconduct Policy is updated annually to reflect best practices, community feedback, and Federal Regulations. Members of the University's Campus Resource Team provide guidance each academic year in the review of University policies and procedures related to Sexual Misconduct.

In September 2025, the Sexual Harassment & Misconduct Policy was updated to reflect the appointment of Athletics Deputy Title IX Coordinator, Becky Clark and Deputy Title IX Coordinator, Ophelie Rowe-Allen. The Policy was then updated to reflect the appointment of Title IX Coordinator, Robin Salters and Assistant Title IX Coordinator Emily Guido for September 2025.

As of September 10, 2025, the University published the Sexual Harassment & Misconduct Policy. This policy was updated to reflect the Department of Education's Office of Civil Rights issued Dear Colleague Letter confirming its enforcement of the 2020 Title IX Ruling, which was issued on January 9, 2025. A section of the policy is dedicated to the expanded guidance the rights of pregnant and parenting community members entitled Pregnancy and Related Conditions.

The University's Sexual Harassment & Misconduct policy details the procedures that students, employees, and visitors of the institution who report or disclose being the victim of sex-based discrimination or sexual harassment, including offenses such as sexual assault, sexual exploitation, stalking, dating violence and domestic violence, may follow after such an incident. The policy provides University members with information regarding who they may report or disclose an incident to, as well as support and advocacy service information. This policy also delineates the options a complainant or individual who submits a report of an allegation of sexual misconduct may take regarding a criminal complaint, institutional complaint, or confidential report. The Sexual Harassment & Misconduct Policy provides information regarding formal grievance procedures, emergency removal measures, supportive measures & accommodations available to community members, and information regarding the University's compliance with court ordered protective orders.

The University posts its Sexual Harassment & Misconduct Policy in written format on the University's website (www.newhaven.edu/titleix) for the campus community, as well as within its' internal portal, MyCharger under Institutional Policies. Please see the provided copy of the University's Sexual Harassment & Misconduct Policy for additional details.

The University New Haven conducted a Campus Climate Survey in October 2024, which was delivered via University email to all enrolled students. The University of New Haven sent an email to the student population notifying them of the opportunity to provide feedback and their experiences, which included a link to take the survey. The survey did not collect identifiable information for responding students. Participants were informed that their responses were confidential and would not identify them, though their responses would be reported in this survey results. All survey questions were optional for participants. No incentives were provided to participants to complete this survey. Results of this survey are available at:

https://www.newhaven.edu/_resources/documents/about/title-ix/2024-campus-climate-survey.pdf.

Educational Programming

It is the policy of the University to offer programming to prevent domestic violence, dating violence, sexual harassment, sexual exploitation, sexual assault, and stalking annually. Educational programs are offered to raise awareness for all incoming students and employees, and are often conducted during new student orientation, throughout an incoming student's first semester, and new employee orientation. Programs, and other campaigns, offered throughout the year to all campus community members include strong messages regarding awareness and primary prevention (including normative messaging, bystander intervention, and the interactions between sexual assault and substance use). Awareness initiatives are often designed to address sexual misconduct as it occurs within the campus community, particularly focusing on its intersection with substance use and overall culture of the college environment. Programs also offer information on risk reduction that strive to empower survivors of sexual violence, identifying/recognizing warning signals and skills for prevention of a potential attack, while being cognizant of environments that may appear to be victim-blaming. Bystander engagement is encouraged through safe and positive intervention techniques and by empowering third-party intervention and prevention such as calling for help, using intervention-based apps, identifying allies and/or creating distractions. The University of New Haven utilizes the phrase 'Moving from Bystander to Upstander' as a campaign where bystanders are encouraged to become actively engaged and intervene to positively impact their environments. Upstander programming features discussions about identifying problematic behavior as it occurs, for those who intervene to ensure their own safety via the techniques they choose, while motivating them to intervene as stakeholders in the safety of the community when others might choose to be bystanders.

The following represents the University of New Haven's primary prevention and awareness programming designed to prevent all types of sexual violence.

Fall 2024 Semester

July 1, 2024, through December 31, 2024

Programming Events: Approximately 49

| Event Name: | Type of Event: | Offered By: | Audience: | Date: |
|---|--|---|---|--|
| All Faculty & Staff Mandatory Reporter & Title IX Training | Primary Prevention Programming, Risk Reduction | Title IX Office, Office of Institutional Equity & Diversity | All Employees | July 1, 2024 & Ongoing |
| Vector – Sexual Violence Prevention Online Course | Primary Prevention Programming & Awareness Programming | Dean of Students Office | All incoming first- year and transfer students – Mandatory | Initial Course – August 2024, Follow-up Courses: October 2024 |
| Residential Professional Staff Training – Sexual Misconduct Response | Primary Prevention Programming & Risk Reduction | Dean of Students Office | Office of Residential Life staff | August 8, 2024 |
| Step UP: From Bystander to Upstander Session for Pre-College Orientations | Primary Prevention Programming & Awareness Programming | Dean of Students Office | Dual Enrolled ESUMS and West Haven High School students | August 13, 2024 |
| Residential Advisors & Directors Training at ORL Staff Orientation | Primary Prevention Programming & Awareness Programming | College Advocate & Dean of Students Office | Office of Residential Life staff | August 12 & 13, 2024 |
| New Faculty Orientation: Sexual Violence Reporting & Assistance | Primary Prevention Programming | Dean of Students Office | New Faculty (Adjunct & Full- Time) & Provost's Office | August 19, 2024 |

| Title IX & Sexual Misconduct at Charge-IN | Primary Prevention Programming | Title IX Office, Office of Institutional Equity & Diversity | All incoming first- year and transfer undergraduate and graduate students –Mandatory | Two sessions held during Mandatory Orientation, August 24, 2024 |
|--|---|---|--|--|
| SDEV 1000 Course- Step UP: From Bystander to Upstander Presentations | Primary Prevention Programming & Awareness Programming | Dean of Students Office | All incoming first- year and transfer students – Mandatory | 32 courses offered throughout the semester, September 5 – October 28, 2024 |
| Domestic Violence Awareness Panel | Primary Prevention Programming & Awareness Programming | American Criminal Justice Association | Open to the Public | September 24, 2024 |
| LGBTQ+ History Month: Flag Raising | Awareness Programming | PRIDE & Myatt Center for Diversity & Inclusion | Open to the Public | October 1, 2024 |
| Safe Zone Training | Awareness Programming | Myatt Center for Diversity & Inclusion | Open to the Public | October 7, 2024 |
| Domestic Violence Tabeling Event | Awareness Programming | Office of Title IX | Open to Public | October 7, 2024 |
| Health & Wellness Fair | Primary Prevention Programming & Awareness Programming | Dean of Students Office & Human Resources | Open to the Public | October 8, 2024 |
| LGBTQIA+ History Month Trivia | Awareness Programming | Office of Residential Life | Sheffield Hall Residents & Community members | October 18, 2024 |

| Health & Wellness Pop-up | Primary Prevention Programming & Awareness Programming | Counseling & Psychological Services | Open to the Public | October 28, 2024 |
|--|--|---|--------------------|----------------------|
| Halloween Safety and Consent | Primary Prevention Programming & Awareness Programming | Dean of Students Office & Title IX Office | Open to Public | October 24, 2024 |
| Milford Rape Crisis Center Workshop | Primary Prevention Programming & Awareness Programming | Alpha Sigma Alpha Sorority | Open to the Public | October 25, 2024 |
| Title IX Education and Consent | Primary Prevention Programming & Awareness Programming | Title IX Office | Student Athletes | November 17, 2024 |
| Trans Day of Remembrance | Awareness Programming | Office of Residential Life & Myatt Center for Diversity & Inclusion | Open to the Public | November 20, 2024 |

| | | Spring 2025 Semeston anuary 1, 2025, thro | | | | |
|--------------------|---|---|------------------|-----------------|--|--|
| | | ming Events: Approx | _ | | | |
| Event Name: | e: Type of Event: Offered By: Audience: Date: | | | | | |
| Residential | Primary Prevention | Dean of Students | Office of | January 7 & 13, | | |
| Professional Staff | Programming & | Office | Residential Life | 2025 | | |
| Training –Sexual | Awareness staff | | | | | |
| Violence Response | Response Programming | | | | | |
| | | | | | | |
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| Step UP: From Bystander to Upstander Session for Pre-College Orientations | Primary Prevention Programming & Awareness Programming | Dean of Students Office | Dual Enrolled ESUMS and West Haven High School students | January 7, 2025 |
|--|--|--|---|---|
| Residential Advisors & Directors Training at ORL Staff Orientation | Primary Prevention Programming & Awareness Programming | Dean of Students Office | Office of Residential Life staff | January 16 & 17, 2025 |
| Title IX Education and Consent | Primary Prevention Programming & Awareness Programming | Title IX Office | ROTC Program | January 24, 2025 |
| Get Lucky Game Night (Safe Sex & Relationships Trivia) | Awareness Programming | Office of Residential Life | Gerber Hall Residents & Community members | January 31, 2025 |
| SDEV 1000 Course- Step UP: From Bystander to Upstander Presentations | Primary Prevention Programming & Awareness Programming | Wellness Peer Educators, Dean of Students Office | All incoming first- year and transfer students – Mandatory | 4 courses offered throughout the semester, February 10 – 12, 2025 |
| LGBTQ+ in the Black Community: Let's Talk About It! | Awareness Programming | Undergraduate Student Government Association | Open to the Public | February 3, 2025 |
| Don't be Rash, It's Condom Sense | Awareness Programming | Office of Residential Life | Bethel Hall Residents & Community members | February 5, 2025 |

| Know Your Stuff: Sexual Health Trivia | Awareness Programming | Office of Residential Life & Health Services | Sheffield Hall Residents & Community members | February 12, 2025 |
|--|--------------------------|---|---|-------------------|
| Red Flags, Green Lights (Healthy Relationships Programming) | Awareness Programming | Office of Residential Life & Health Services | Bixler Hall Residents & Community members | February 12, 2025 |
| Cookies and Consent Tabling Event | Awareness Programming | Office of Title IX & Myatt Center | Open to Public | February 12, 2025 |
| The Line Between Healthy & Hurting Hearts | Awareness Programming | Office of Residential Life & Health Services | Westside Hall Residents & Community members | February 13, 2025 |
| Cupcakes & Climax (Sexual Health Discussion) | Awareness Programming | Office of Residential Life & Health Services | Bergami Hall Residents & Community members | February 21, 2025 |
| PRIDE Bash & Trans Day of Visibility | Awareness Programming | PRIDE & Myatt Center for Diversity & Inclusion | Open to the Public | March 30, 2025 |
| International Transgender Day of Visibility | Awareness Programming | Office of Residential Life | Open to the Public | March 31, 2025 |
| LGBTQ & Women's Rights Open Forum | Awareness Programming | Undergraduate Student Government Association | Open to the Public | April 18, 2025 |
| Women in Sports: Changing the Game | Awareness Programming | Myatt Center for Diversity & Inclusion | Open to the Public | April 21, 2025 |

Types of Educational Programming

Vector Solutions Online: Alcohol EDU and Sexual Assault Prevention Courses

Multiple software platforms have been utilized by the University to achieve education and awareness with the primary goal of preventing further violence on campus and within our university community. For incoming students these platforms include online training completed prior to oncampus arrival and bystander intervention training administered by Dean of Students Office staff.

For the 2024 - 2025 academic year, the University of New Haven has implemented Vector Solutions' (formerly EverFi) *Sexual Violence Prevention* courses for its incoming undergraduate populations. The *Sexual Violence Prevention Courses* are designed to engage students in fostering healthy relationships and preparing them to recognize and respond to sexual assault and harassment when it occurs. The *Adult Learner Course* is designed to train non-traditional students by emphasizing strategies to identify abuse in long-term relationships and bystander intervention for in-person and online situations. This course eliminates the discussion of underage substance use and focuses its attention on addressing age-appropriate scenarios related to healthy relationships, sexual misconduct, harassment related-communications, and bystander intervention.

Vector Solutions' employs pre- and post-surveys for each course which are offered immediately before and after each course to evaluate learner attitudes, beliefs, behaviors, and experiences related to the topic. Approximately thirty days after course completion, a follow-up survey is initiated for each learner to measure the long-term impact of the course.

Incoming students were divided into multiple groups, those that are undergraduates under the age of 18, those over 18, and those over 22 years of age. Those students under the age of 18 years of age were assigned the full undergraduate module, however the embedded surveys in the course were removed due to their status as minors. Those students between 18 years of age and 22 years of age were assigned the full undergraduate module with the embedded surveys to assess perceptions of bystander intervention, awareness of sexual violence, and self-reported behaviors. Those students identified as over the age of 22 years were provided the *Adult Learners* module for nontraditional students.

To facilitate additional ongoing education, the University administered a Sexual Violence Prevention Ongoing: Healthy Relationships module to all students in their first semester in the class standing of junior (third year).

During the 2024-25 academic year, the University administered the modules as shown in the tables below:

| Vector Sexual Violence Prevention Course | Semester Offered | Total Invited Students | Completed Online Module | Incomplete Module | Overall Completion Rate | Overall Incomplete Rate |
|---|---------------------|------------------------------|-------------------------------|----------------------|-------------------------------|-------------------------------|
| Undergraduate First-Time/Transfer | 2024-25 AY | 1458 | 1021 | 437 | 70.0 % | 30.0 % |
| Undergraduate First-Time/Transfer Adult Learners | 2024-25 AY | 148 | 76 | 72 | 51.4% | 48.6 % |
| Undergraduate Juniors – Healthy Relationships Refresher | 2024-25 AY | 928 | 467 | 461 | 50.3 % | 49.7 % |

During the 2024-25 academic year, the University of New Haven utilized Vector Solutions' *Alcohol EDU for College* course to review the risks of substance misuse and successful strategies for handling dangerous situations. All incoming students are required to complete both the *Alcohol EDU* and *Sexual Violence Prevention* courses within their first few weeks of enrollment at the University. Within these online courses, students are prompted to review University specific policies on Substance Use, Sexual Harassment & Misconduct, the Code of Conduct and Medical Amnesty Policy. Additionally, each course provides opportunities for students to review on-campus and local support services available to students. With Vector's software, the University has increased overall completion of the online modules through automated email reminders, in-class reminders, and University-wide communications.

| Vector Alcohol EDU for College Course | Semester Offered | Total Invited Students | Completed Online Module | Incomplete Module | Overall Completion Rate | Overall Incomplete Rate |
|---|---------------------|------------------------------|-------------------------------|----------------------|-------------------------------|-------------------------------|
| Undergraduate First-Time/Transfer | 2024-25 AY | 1458 | 1057 | 401 | 72.5 % | 27.5% |

Title IX Charge-In Training

During incoming student orientation, the Office of Institutional Equity & Diversity provides an hour presentation that serves as an introduction to University's policies, resources, and grievance procedures. This presentation includes information about affirmative consent, strategies for safe and effective intervention, understating the University expectations of behavior, and available Title IX resources. This session was offered twice during orientation, to allow all incoming first year and transfer students to attend. Community Standards sessions, held on August 22, 2024, also included a brief review of the Sexual Misconduct & Harassment Policy, available supportive

measures, options to formally report and available confidential resources. Following Community Standards, incoming undergraduates participated in the Title IX sessions, held on August 24th, 2024, for a review of bystander intervention strategies and safety measures on campus.

Information Tables and Additional Programming

A secondary facet of education is the programming regarding sexual violence prevention, response, and University specific policies. The University's Dean of Students Office, Title IX Office, and various student organizations continue to host numerous educational and awareness programs during the academic year. In addition to events sponsored by the Undergraduate Student Government and the Graduate Student Council, the Title IX Office sponsor numerous information tables throughout the year to increase awareness (Healthy Relationships tables, Denim Day, April's Sexual Assault Awareness Month, etc.). During these informational tables, staff provide extensive amounts of resources, and promotional items to passing students.

Awareness & Compliance Initiatives

Know Your Rights Brochure

A primary component of the CT Public Act 14-11 was the requirement to create a "concise notification, written in plain language, to each student and employee of such institution who has been a victim of sexual assault, stalking, or intimate partner violence, of such victim's rights and options under such institution's policy". Thus, the University developed a brochure that would include written Title IX Formal Grievance Policy definitions, resources for confidential disclosure and reporting options, the option to report to law enforcement and/or our institution, our retaliation policy, a notification of the Title IX Coordinator, notice of interim measures, formal complaint procedures, advisor privileges, and an involved party's rights. This valuable resource is updated annually to reflect the changes made to the University's policy or procedures, as well as contact information for identified resources.

To utilize this document more efficiently, the Title IX Office has actively provided this document to offices, which serve as first responders for sexual violence or misconduct reports. This includes the University Police Department, Office of Residential Life, Counseling & Psychological Services, Health Services, and Student Services at all satellite locations. Staff in these offices are directed to utilize this brochure as a roadmap of our students' rights and options under Title IX of the Education Amendments of 1972 and Violence Against Women Reauthorization Act. By training staff to facilitate a discussion using this brochure, we can ensure that as students report sexual misconduct, they receive consistent and comprehensive information about available support services and reporting options. This document is also used to facilitate conversations with parties of sexual misconduct allegations to thoroughly discuss the institutional process and their available options for support.

Title IX Website

The Title IX Office continuously monitors and revises the Title IX webpage, paying particular attention to accessibility, availability, and clarity of this important information. To ensure increased reporting, the appropriate short links, such as www.newhaven.edu/titleix and www.newhaven.edu/reportit are monitored for functionality. The Title IX website was updated to include more information for external community members regarding reporting, definitions, resources, training, and frequently asked questions. Furthermore, the external and internal search for Title IX at the University provides the webpage as a primary option.

Report of Complaints of Sexual Misconduct Brought forward from January 1 through December 31, 2024

This report contains information about complaints of sexual misconduct that the University of New Haven received notice and the actions taken by the University to address those complaints. Specifically, this report includes descriptions of all student complaints that were processed through the Sexual Misconduct Disciplinary Process from January 1, 2024, through December 31, 2024

This report is intended to provide the information requested in CT Public Act 14-11, *An Act Concerning Sexual Assault, Stalking, and Intimate Partner Violence on Campus*, as well as to inform the Joint Standing Committee of the General Assembly of the efforts the University has taken to combat sexual violence on its campus. This report was composed to protect the privacy of the individuals involved, and identifiable information was not provided to maintain the privacy and confidentiality of the parties involved.

The complaints in this report have been presented in statistical and narrative format and are organized according to the type of action the reporting party engaged in: Formal University Complaint (Investigation & Hearing), or Informal Institutional Report. In the narrative portion of the report, you will find cases that may have engaged in these processes and may have engaged in a collaborative process with the Title IX Coordinator, Dean of Students Office, University Police, and Sexual Misconduct Formal Grievance.

While this report is intended to be informative and enlightening, there are many limitations to reporting sexual violence on the University campus. The brief narrative descriptions are intentionally vague, as a complete description of the complexities or specifics of a given case could reveal identifiable information about a given party. Similarly, the report indicates only the type of category the complaint is associated with, such that "sexual harassment" may refer to unwelcome advances or requests for sexual favors.

In the event that sexual misconduct, gender-based violence, or the crimes of sexual assault, stalking, dating violence or domestic violence do occur, the University of New Haven takes the matter very seriously. The University may employ interim protection measures such as emergency removal, interim suspension, and/or no contact orders in cases where a student's behavior represents a risk of violence, threat, pattern and/or predation. A student responding to allegations of sexual misconduct, other gender-based violence, or such crimes, is subject to action in accordance with the University's Sexual Harassment & Misconduct Policy (also known as Title IX Formal Grievance Procedures).

A student wishing to officially report such an incident may do so by contacting the Title IX Coordinator, Robin Salters (203.932.7022or rsalters@newhaven.edu or Assistant Title IX Coordinator, Emily Guido (203.932.7264 or eguido@newhaven.edu). Anyone with knowledge about sexual misconduct or gender-based violence or such crimes is encouraged to report it immediately.

Supportive measures for victims are available from the campus whether a victim pursues a formal complaint through the University's process.

The statistics below include all complaints of sexual misconduct brought forward during January 1, through December 31, 2024, regardless of when the alleged events occurred. The complaints are sorted into broad categories based on the reporting party's allegations. Complaints involving more than one allegation of sexual misconduct are listed only once, based on the primary allegation. The Complainant is the person who reported having experienced a violation of sexual misconduct or (in the case of third-party complaints) the person who is reported to have experienced it. The Respondent is the person (or persons) alleged to have committed the misconduct.

Formal Institutional Reports

During the 2024 calendar year (January 1 – December 31, 2024), there were 6 formal complaints brought forward to the Sexual Misconduct Investigation Team and Hearing Board, which are reported in this table.

| Complainant(s) | Respondent(s) | Category of Misconduct Reported | Descriptions/Actions Taken |
|--------------------------|--------------------------|---------------------------------------|---|
| Undergraduate Student | Graduate Student | Sexual Assault | The Title IX Office received a report in which a female student disclosed a prior experience of sexual assault involving a male student. The alleged also held a role as a student employee. The Title IX Office initiated a formal investigation at the Complainant's request. |
| | | | The Respondent was found not Responsible for violating the Sexual Harassment & Misconduct Policy; however, the Respondent was found to be in violation of the Prohibited Relationships Policy. There was no appeal. Both parties were offered supportive measures. The Respondent was sanctioned with an administrative review of the University's Prohibited Relations Policy. |
| Undergraduate Student | Undergraduate Student | Sexual Assault | The Title IX Office received a report in which a female student disclosed an experience of sexual assault involving a male student. The Title IX Office initiated a formal investigation at the Complainant's request. |
| | | | An emergency removal was initiated, which removed the Respondent from campus as the Title IX Office was in receipt of numerous allegations involving the Respondent. The Respondent was found not responsible for violating the Sexual Harassment & Misconduct Policy. There was no appeal. Both parties were offered supportive measures. |

| Undergraduate Student | Undergraduate Student | Sexual Assault | The Title IX Office received a report in which a female student disclosed an experience of sexual assault involving a male student. The Title IX Office initiated a formal investigation at the Complainant's request. The Respondent was found not responsible for violating the Sexual Harassment & Misconduct Policy. There was no appeal. Both parties were offered supportive measures. |
|--------------------------|--------------------------|------------------------------|--|
| Undergraduate Student | Undergraduate Student | Sexual Assault | The Title IX Office received a report in which a female student disclosed an experience of sexual assault involving a male student. The Title IX Office initiated a formal investigation signed by the Title IX Coordinator. An emergency removal was initiated, which removed the Respondent from campus as the Title IX Office was in receipt of numerous allegations involving the Respondent. The Respondent was found not responsible for violating the Sexual Harassment & Misconduct Policy. There was an appeal made by the Complainant. The appeal board accepted the appeal and ruled the Hearing be reconvened to review the original findings. After the second review, the finding remained not responsible for violating the Sexual Harassment & Misconduct Policy. Both parties were offered supportive measures, and the Respondent was able to return to campus. |
| Undergraduate Student | Undergraduate Student | Intimate Partner Violence | The Title IX Office received a report in which a female student disclosed an experience of dating violence involving a male student. The Title IX Office initiated a formal investigation at the Complainant's request. An emergency removal was initiated, which removed the Respondent from campus due to the nature of allegations involving the Respondent. The Respondent was found responsible for violating the Sexual Harassment & Misconduct Policy. There was no appeal. The Respondent was banned from campus and all campus activities while the Complainant remains an undergraduate student at the University and for up to two additional years should the Complainant enroll in graduate school at the University. Both parties were offered supportive measures. |

| Graduate | Graduate | Intimate Partner | The Title IX Office received a report in which a female student disclosed an experience of dating violence involving a male student. The Title IX Office initiated a formal investigation at the Complainant's request. |
|----------|----------|------------------|---|
| Student | Student | Violence | |
| | | | The Respondent was found not responsible for violating the Sexual Harassment & Misconduct Policy. There was no appeal. Both parties were offered supportive measures. |

Informal Institutional Complaints

During the 2024 calendar year (January 1 – December 31, 2024), there were 31 informal reports. In each case, the Complainant was provided information regarding the formal complaint process, criminal complaint process, and informal remedies, however declined to pursue the matter. Parties are given access to all supportive measures, such as academic, living or working, and/or transportation accommodations.

| Complainant(s) | Respondent(s) | Category of Misconduct Reported | Descriptions/Actions Taken |
|--------------------------|--------------------------|---------------------------------------|--|
| Undergraduate Student | Undergraduate Student | Sexual Harassment | The Title IX Office received a report in which a female student disclosed she was sexually assaulted prior to coming to college. |
| | | | The Complainant scheduled a meeting with the Title IX Office though she did not show up to that meeting and never responded to any further outreach. |
| | | | The Complainant was provided the Know Your Rights Brochure and offered supportive measures. |
| Undergraduate Student | Unknown | Sexual Harassment | The Title IX Office received a report in which a male student disclosed being "groomed" as a child. |
| | | | The Complainant did not respond to multiple attempts to outreach. The Complainant was provided the Kow Your Rights Brochure, was offered to meet with the Title IX Office multiple times, and offered supportive measures. |
| Undergraduate Student | Undergraduate Student | Sexual Assault | The Title IX Office received a report in which a female student disclosed being sexually assaulted by a male student while off campus. The Complainant met with the Title IX Office |

| | | | though declined wanting to move forward with a formal complaint. The Complainant was provided the Know Your Rights Brochure and received supportive measures (such as academic support and counseling services). |
|--------------------------|--------------------------|----------------------|---|
| Undergraduate Student | Non-University Member | Sexual Assault | The Title IX Office received a report in which a female student disclosed an incident back home where she was sexually assaulted prior to coming to college. |
| | | | The student was provided with supportive measures such as academic support, counseling support, and housing information. The Complainant was provided with information about how to connect with law enforcement in her home state. |
| Undergraduate Student | Non-University Member | Sexual Assault | A faculty member reported a student disclosure to the Title IX Office, in which a student disclosed she was sexually assaulted as child and was having difficulty with the course content. |
| | | | The Title IX Office met with the student and provided her with supportive measures such as excused absences, alternative class assignments, referral to counseling services, and information on how to report to law enforcement. |
| Graduate Student | Graduate Student | Stalking | The Title IX Office was notified by University Police that a student reported being stalked by a male student. At the Complainant's request, University Police contacted the Respondent to instruct him to cease the unwanted behavior. |
| | | | The Complainant met with the Title IX Office where she declined moving forward since the behavior had stopped. The Complainant was provided the Know Your Rights Brochure and offered supportive measures. The Complainant was given information on who to contact should the behavior start again. |
| Undergraduate Student | Undergraduate Student | Sexual Harassment | The Title IX Office received a report in which a male student disclosed a female student had touched him without consent. |

| | | | The Complainant did not respond to any outreach from the Title IX Office. The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. | | |
|--------------------------|--------------------------|------------------------|---|--|--|
| Undergraduate Student | Undergraduate Student | Sexual Assault | The Title IX Office received a report through University Police of a disclosure regarding a female student who shared she was sexually assaulted. | | |
| | | | The Complainant met with the Title IX Office and clarified that the behavior was consensual, however she experienced pressure to continue. The Complainant did not wish to pursue a formal complaint. She was provided housing accommodations and re-introduced to the counseling center. | | |
| Undergraduate Student | | | The Title IX Office received a report in which a female student was forcibly touched in an off-campus house by a male student. The Complainant did not wish to move forward | | |
| | | | with a formal complaint and was provided supportive measures. | | |
| Undergraduate Student | Non-University Member | Sexual Exploitation | A faculty member reported a student disclosure to the Title IX Office, in which a female student disclosed that while in her off campus house an unknown male entered her home and exposed himself to her before leaving. | | |
| | | | The Complainant did not wish to move forward with University supportive measures. A police report was filed. | | |
| Undergraduate Student | Non-University Member | Stalking | The Title IX Office received a report in which a male student notified University Police that his prior partner was stalking him and his current partner. | | |
| | | | The Complainant did not respond to any outreach from the Title IX Office. The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. | | |

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| Graduate Non-Univers Student Member | | Intimate Partner Violence | University Police received notification from local police that a female student had reported intimate partner violence. The Complainant did not identify any details of the alleged violence and chose to work directly with local police. The Complainant was provided with comprehensive information about supportive measures and was referred to the counseling |
| | | | services. |
| Non-University Member Undergraduate Student Intimate P Violence | | Intimate Partner Violence | The Office of Residential Life reported dating partners were engaged in a verbal altercation within the residence hall. The Complainant, a male non-student, had his shirt ripped during the altercation. The Complainant declined to pursue a formal complaint. |
| | | | Both parties were engaged in educational conversations regarding healthy relationships and expectations within residential housing. |
| Undergraduate Student | Unknown | Sexual Assault | The Title IX Office received a report in which a female student disclosed a sexual assault they experienced while at an off-campus function. The Complainant did not identify any other involved parties and did not share additional details of the alleged assault. |
| | | | The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. The Complainant was connected to the Milford Rape Crisis Center. The Complainant received classroom accommodations. |
| Undergraduate Student | Unknown | Sexual Assault | The Title IX Office received a report from a female student disclosed a sexual assault they experienced while off-campus. The Complainant did not identify any other involved parties and did not share additional details of the alleged assault. |
| | | | The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. The Complainant received housing accommodations. |

| Undergraduate Student | Unknown | Sexual Assault | The Title IX Office received a report that a female student was sexually assaulted by an unknown male outside of an off-campus bar. The Complainant was not responsive to outreach. | | |
|--------------------------|--------------------------|------------------------------|--|--|--|
| | | | The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. | | |
| Undergraduate Student | Undergraduate Student | Intimate Partner Violence | A staff member received a report in which a female student disclosed her partner was abusing substances and then guilting her into sexual acts. The Complainant met with the Title IX Office where she shared minor details. | | |
| | | | The Complainant was provided comprehensive information about supportive measures and connection to counseling center along with continued support. | | |
| | | | The Respondent was referred to counseling services for substance abuse/misuse assistance. | | |
| Graduate Student | Graduate Student | Intimate Partner Violence | Local police responded to an off-campus house in which a female student was experiencing domestic violence by a male student. The Respondent was arrested during the police response. The Complainant met with the Title IX Office and provided information regarding her court appearances. | | |
| | | | The Complainant declined to pursue a formal complaint choosing to pursue local law enforcement assistance. The Complainant was provided supportive measures such as counseling, academic support and connection to local court advocates. | | |
| Undergraduate Student | Unknown | Intimate Partner Violence | A faculty member reported a female student disclosure to the Title IX Office, in which the student shared she had previously been in a psychologically abusive relationship. The Complainant disclosed she had witnessed her former partner punch a hole in the wall. | | |
| | | | The Complainant did not respond to any outreach from the Title IX Office. The Complainant was provided with the Know Your | | |

| | | | Rights Brochure and information on supportive measures that are available. |
|--------------------------|---|------------------------------|--|
| Undergraduate Student | Undergraduate Student | Sexual Assault | The athletics department received a report in which a peer disclosed their friend (a female student) was sexually assaulted. The Complainant would not meet with University staff and expressed she did not wish for her experience to be reported. The Title IX Office had no additional information. The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. |
| Undergraduate Student | duate Undergraduate Intimate Partner Student Violence | | Residential Life reported that a female student disclosed being grabbed on the shoulders/neck area by a male student. The Complainant requested the alleged respondent be removed from the residential hall. |
| | | | The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. The Complainant was referred to counseling and provided academic accommodations. |
| | | | The Respondent disclosed additional instances of intimate partner violence within this relationship-see below. Both parties received supportive measures, including relocation to new residential spaces and a No Contact Order. |
| Undergraduate Student | Undergraduate Student | Intimate Partner Violence | Residential Life reported that a male student disclosed being slapped across the face by a female student. The Complainant requested the alleged respondent be removed from the residential hall. |
| | | | The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. The Complainant was referred to counseling and provided academic accommodations. |
| | | | The Respondent disclosed their experience of intimate partner violence within the relationship-see above. Both parties received supportive measures, including relocation to |

| | | | new residential spaces and a No Contact Order. |
|--------------------------|--------------------------|------------------------------|---|
| Undergraduate Student | Unknown | Intimate Partner Violence | A faculty member received a report in which a female student wrote about a former abusive partner. The Complainant did not identify the Respondent or the alleged violence. |
| | | | The Complainant did not respond to outreach from the Title IX Office. The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. |
| Undergraduate Student | Unknown Sexual Assault | | The Title IX Office received a report in which a female student disclosed that an unknown male sexually assaulted her while at an off-campus bar. The Complainant could recall limited information and was unable to identify the Respondent. |
| | | | The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. |
| Undergraduate Student | Undergraduate Student | Stalking | The Title IX Office received a report in which a female student alleged being stalked by her former partner. The Respondent was allegedly driving past her off campus house. The Complainant was requesting the behavior cease. |
| | | | The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. The Complainant declined to pursue a formal complaint. |
| | | | The Title IX Office issued a No Contact Order and facilitated the parties returning their belongings to one another. |
| Undergraduate Student | Undergraduate Student | Sexual Assault | The Title IX Office received a report in which a male student experienced an inappropriate touch from another male student while off-campus. The Complainant identified the Respondent as a University student but did not wish to participate in a formal complaint. |

| | | | The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. The Title IX Office met with the Respondent to discuss appropriate boundaries and consent when touching others. |
|--------------------------|--------------------------|----------------|--|
| Undergraduate Student | Undergraduate Student | Stalking | The Title IX Office received a report in which a male student alleged he was being stalked by his former partner. The Complainant and Respondent were roommates that the time of the report. |
| | | | The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. The Complainant declined to pursue a formal complaint as the Respondent transferred from the University. The Complainant received academic supportive measures and connection to the counseling center. |
| Undergraduate Student | Non-University member | Stalking | University Police received a report in which a female student shared concerns about her former dating partner. The Complainant had related scheduled court appearances. |
| | | | University Police engaged in safety planning with the Complainant. The Complainant did not respond to outreach from the Title IX Office. The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. |
| Undergraduate Student | Unknown | Sexual Assault | University Police was notified by West Haven Police that a female student reported a sexual assault. |
| | | | The Complainant was offered support in filing a complaint, the Complainant chose not to engage with University resources outside of University Police. The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. |
| Undergraduate Student | Graduate Student | Stalking | University Police reported that a female student reported they were being followed while walking home from campus and experienced unwanted advances from a male student. The |

| | | | Complainant contacted University Police, who later identified the Respondent. The Complainant did not respond to outreach from the Title IX Office. The Complainant was provided with the Know Your Rights Brochure and information on supportive measures that are available. |
|---------------------|------------------|------------------------------|--|
| Graduate Student | Graduate Student | Intimate Partner Violence | University Police received a report of a male and female student engaged in a verbal altercation in a campus parking lot. The Respondent hit the Complainant's phone out of her hand onto the ground. University Police responded to the scene. The Complainant was escorted to counseling at her request. The Respondent was also offered supportive measures such as counseling to which he declined. The Complainant was provided with the Know Your Rights Brochure and information on |

^{*}These statistics may vary from the published Annual Security & Fire Safety Report statistics as it only includes those reports that the University received notice of, and which engaged in the disciplinary process. Clery reportable crimes may also include aggregate data provided by confidential resources, such as Health Services, Counseling Services, and the Rape Crisis Center of Milford's College Advocate, which can appear as an increase in reported sex offenses. Additionally, information included in the statistics below is specific to incidents in which a student disclosed or reported an allegation of sexual misconduct as it would violate University Policy. Statistics in the Annual Security & Fire Safety Report are geographically specific to the institution and are assessed based on the criminal definition of the statute.

Table 1. Sexual Misconduct Complaints by Complainant Affiliation

| | | Complainant Affiliation | | | | | | | |
|-------------------------------|---------------------------------|-------------------------|----------|-------|---------|----------------------------------|------------------------------|---------|-------|
| | | Under- graduate | Graduate | Staff | Faculty | Other University Affiliate | Non- University member | Unknown | Total |
| ij | Sexual Assault | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 15 |
| Category of Sexual Misconduct | Intimate Partner Violence | 6 | 4 | 0 | 0 | 0 | 1 | 0 | 11 |
| exual | Sexual Harassment | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 4 |
| y of S | Stalking | 5 | 1 | 0 | 0 | 0 | 0 | 0 | 6 |
| ategor | Sexual Exploitation | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| O | Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total | 31 | 5 | 0 | 0 | 0 | 1 | 0 | |

Table 2. Sexual Misconduct Complaints by Respondent Affiliation

| | | Respondent Affiliation | | | | | | | |
|-------------------------------|---------------------------------|------------------------|----------|-------|---------|----------------------------------|------------------------------|---------|-------|
| | | Under- graduate | Graduate | Staff | Faculty | Other University Affiliate | Non- University member | Unknown | Total |
| īct | Sexual Assault | 7 | 1 | 0 | 0 | 0 | 2 | 5 | 15 |
| Category of Sexual Misconduct | Intimate Partner Violence | 5 | 3 | 0 | 0 | 0 | 1 | 2 | 11 |
| exual | Sexual Harassment | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 4 |
| y of S | Stalking | 2 | 2 | 0 | 0 | 0 | 2 | 0 | 6 |
| ategor | Sexual Exploitation | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| 0 | Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total | 17 | 6 | 0 | 0 | 0 | 6 | 8 | |

SEXUAL HARASSMENT & MISCONDUCT POLICY

TABLE OF CONTENTS

| I. Int | troduction | 2 |
|---------|---|----|
| II. Sc | cope of Policy | 3 |
| IV. D | Pefinitions | 4 |
| V. Pr | ohibited Conduct: | 6 |
| a. | Sexual Harassment | 6 |
| b. | Consent, Incapacitation, Force, and Coercion | 8 |
| c. | Other Sexual Misconduct Offenses | 11 |
| VI. O | Obligation to Report: | 11 |
| VII. P | Pregnancy or Related Conditions: | 12 |
| VIII. S | Supportive Measures | 12 |
| IX. Pı | reservation of Evidence | 14 |
| X. Fo | ormal Grievance Procedures for Alleged Violations | 14 |
| A. | Notice of Allegations | 16 |
| В. | Emergency Removal | 17 |
| C. | Informal Resolution | 18 |
| D. | Recording of Interviews | 19 |
| E. | Investigation Process | 19 |
| F. | Dismissal(s) (Mandatory or Discretionary) | 23 |
| G. | . Adjudication | 24 |
| Н. | . Appeals | 31 |
| ı. | Long Term Remedies/Other Actions | 32 |
| J. | False Allegations and Evidence | 33 |
| XI. R | Retaliation | 33 |
| XII. R | Revision of this Policy and Procedures | 34 |
| XIII. U | University of New Haven & Community Resources | 34 |
| Or | n Campus Resources: | 34 |
| Of | ff Campus/Other Resources: | 36 |

SEXUAL HARASSMENT & MISCONDUCT POLICY

SEPTEMBER 10, 2025

I. Introduction

The University of New Haven prohibits sexual harassment and sex discrimination in any education program or activity that it operates, as required by Title IX, including in admission and employment. The University of New Haven values and upholds the equal dignity of all members of its community and strives to balance the rights of the Parties in the Grievance Procedures during what is often a difficult time for all those involved. To ensure compliance with federal and state civil rights laws and regulations, and to affirm its commitment to promoting goals of fairness and equity in all aspects of its educational programs and activities, the University has developed internal policies and procedures that provide a prompt, fair, and impartial process for addressing allegations of sex discrimination, including sexual harassment, and for allegations of retaliation.

This policy applies to all members of the University of New Haven community, including students, faculty and staff, and supersedes any contrary school policies pertaining to the investigation or adjudication of sexual harassment, as defined herein.

The University of New Haven has designated a Title IX Coordinator to oversee the investigation and resolution of reports of sexual harassment and the University of New Haven's compliance with Title IX. Any questions about this policy, the University of New Haven's process under Title IX, or reports of alleged sexual harassment should be directed to the Title IX Coordinator or their designee's listed below.

Robin Salters

Director, Organizational Engagement & Service Delivery and Title IX Coordinator Allingtown Hall, Room 112 (203) 932-7022 rsalters@newhaven.edu

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Assistant Title IX Coordinator/Director of Title IX Compliance Peterson Library 203 (203) 932-7264 eguido@newhaven.edu

II. Scope of Policy

The University of New Haven's Sexual Harassment & Misconduct Policy ("Policy") applies to all the University of New Haven community members, including students, faculty, staff, and third parties, such as volunteers, contractors, and visitors. This policy applies not only in the context of current students, but in the context of employment and admissions as well.

All vendors serving the University through third-party contracts are subject to the policies and procedures of their employers.

This Policy will apply to sexual harassment in a University of New Haven "education program or activity" against a person in the United States. This policy applies to conduct that takes place on the campus or on property owned or controlled by the University, at University sponsored events, or in buildings owned or controlled by the University's recognized student organization.

The Respondent must be a member of the University community in order for its policies to apply. When the Respondent is a member of the University community, a grievance process may be available regardless of the status of the Complainant, who may or may not be a member of the University community.

If the Respondent is unknown or is not a member of the University community, the Title IX Coordinator, or their designee, will assist the Complainant in identifying appropriate campus and local resources and support options and/or, when criminal conduct is alleged, in contacting local or campus law enforcement if the individual would like to file a police report. Further, even when the Respondent is not a member of the University's community, supportive measures, remedies, and resources may be accessible to the Complainant by contacting the Title IX Coordinator or the confidential reporting options.

In addition, the University may take other actions as appropriate to protect the Complainant against third parties, such as barring individuals from University of New Haven property and/or events.

When the Respondent is enrolled in or employed by another institution, the Title IX Coordinator can assist the Complainant in liaising with the appropriate individual at that institution, as it may be possible to allege violations through that institution's policies.

Similarly, the Title IX Coordinator may be able to assist and support a student or employee Complainant who experiences discrimination in an externship, study abroad program, or other environment external to the University of New Haven where sexual harassment or nondiscrimination policies and procedures of the facilitating or host organization may give recourse to the Complainant.

Alleged misconduct subject to this Policy includes both sex discrimination prohibited by Title IX and allegations that do not meet the definitions under Title IX but nonetheless violate the University's

community standards as described in the Student Handbook, as discussed further in the Definitions (Section V) below, together called "Prohibited Conduct".

While the conduct may not be prohibited under Title IX, the University retains the right to impose discipline for conduct that the University determines affect a substantial University interest, which include any action that constitutes a criminal offense as defined by law, this includes but is not limited to single or repeat violations of any local, state, or federal law; and any situation that substantially interferes with the educational interests or mission of the University of New Haven.

This policy is also applicable to off-campus or online misconduct that occurs in the University's education program or activity that effectively deprives a person of access to University's education program or activities. The policies of the University of New Haven are written and interpreted broadly to include online manifestations of any of the behaviors prohibited herein, when those behaviors occur in or have an effect on the University's education program and activities or use University networks, technology, or equipment. Although the University may not control websites, social media, and other venues in which harassing communications are made, when such communications are reported to the University, it will engage in a variety of means to address and mitigate the effects.

III. Statement of Policy

The University of New Haven is committed to fostering a living, learning and working environment free of discrimination and harassment. The University of New Haven is subject to Title IX of the Education Amendments of 1972 ("Title IX"), 20 U.S.C. §§1681, et seq., which states that "no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any education program or activity receiving Federal financial assistance."

The University adopts this Policy in furtherance of 1) preventing, eliminating, or addressing the effects of Prohibited Conduct; 2) fostering a climate where all individuals are well-informed and supported in preventing or reporting Prohibited Conduct; and 3) providing clear standards and a fair and impartial process for all Parties by which violations of this Policy will be addressed and disciplinary action imposed. The University will take prompt and effective action to eliminate Prohibited Conduct, prevent its reoccurrence, and remedy its effects all while maintaining our core commitment to fundamental fairness for all Parties; the rights of parents and guardians to support minor children; respect for freedom of speech and academic freedom; and respect for the autonomy that Complainants need and deserve when they come forward with a claim of sex discrimination.

IV. Definitions

Terms used in this Policy have the following meanings:

<u>Advisor:</u> A person chosen by a Party or appointed by the institution to accompany the Party to meetings related to the resolution process, to advise the Party on that process, and who may conduct cross-examination for the Party at the hearing, if any.

<u>Appeal Decisionmaker:</u> The Person or panel who accepts or rejects a submitted appeal request, determines whether an error occurred that substantially affected the investigation or original determination, and directs corrective action, accordingly.

<u>Complainant</u>: An individual who is alleged to be the victim of conduct that could constitute harassment or misconduct; or Retaliation for engaging in a protected activity. A person participating or attempting to participate in the education program or activity at the time of the alleged sex discrimination.

<u>Complaint:</u> A written document submitted and signed by a Complainant or signed by the Title IX Coordinator alleging harassment or misconduct; or retaliation for engaging in a protected activity against a Respondent and requesting that the University investigate the allegation.

<u>Confidential Resources:</u> An employee who is not a Mandated Reporter of notice of harassment, misconduct, and/or retaliation (irrespective of Clery Act Campus Security Authority status).

<u>Grievance Procedures:</u> A method of resolution designated by the University to address conduct that falls within the Policy included below, and which complies with the requirements of the Title IX regulations.

<u>Hearing Panel:</u> Refers to those who have decision-making and sanctioning authority within the University's Grievance Procedures.

<u>Hearing Facilitator and Decisionmaker:</u> A member of the Hearing Panel that facilitates the Grievance Procedures and make decisions during the Grievance Procedures, including questions of relevancy and a final determination of whether Prohibited Conduct occurred, and potential Sanctions associated with such Prohibited Conduct. As used in this Policy, the terms "Hearing Facilitator and Decisionmaker" may refer to the same person.

<u>Investigator</u>: Investigator means the person or persons charged by the University of New Haven with gathering facts about an alleged violation of this Policy, assessing relevance and credibility, synthesizing the evidence, and compiling this information into an investigation report and file of directly related evidence.

<u>Party:</u> A Complainant or Respondent. The term "Party" does not include a Title IX Coordinator who initiates a Complaint under \S 106.44(f)(1)(v) or another participant in Title IX Grievance Procedures, such as a witness or adjudicator.

<u>Mandated Reporter</u>: An employee of the University who is obligated by policy to share knowledge, notice, and/or reports of harassment, misconduct, and/or retaliation with the Title IX Coordinator [and/or their supervisor].

<u>Official with Authority (OWA):</u> An employee of the University of New Haven explicitly vested with the responsibility to implement corrective measures for harassment, misconduct, and/or retaliation on behalf of the Recipient.

<u>Prohibited Conduct:</u> Conduct that is prohibited within this policy, including Sexual Harassment, as well as Retaliation.

Relevant Evidence: Evidence that tends to prove or disprove an issue in the Complaint.

<u>Respondent:</u> An individual who is alleged to be the perpetrator of conduct that could constitute sexual harassment or misconduct; or Retaliation for engaging in a protected activity.

<u>Sanction:</u> A consequence imposed by the University on a Respondent who is found to have violated this policy.

V. Prohibited Conduct:

The section below describes the specific forms of legally prohibited harassment that are prohibited under the University's Policy. All offense definitions encompass actual and/or attempted offenses.

a. Sexual Harassment

<u>Sexual Harassment:</u> as an umbrella category, includes the offenses of sexual harassment, sexual assault, domestic violence, dating violence, and stalking. This definition applies to all formal complaints that fall within this Policy, as determined by the Title IX Coordinator or designee. Sexual harassment includes Conduct on the basis of sex, or that is sexual in nature, that satisfies one or more of the following:

- a. <u>Quid Pro Quo:</u> An employee or agent of the University explicitly or implicitly conditioning the provision of an aid, benefit, or service on an individual's participation in unwelcome sexual conduct.
- b. <u>Severe and Pervasive</u>: Unwelcome sex-based conduct determined by a reasonable person to be so severe, pervasive, and objectively offensive that it effectively limits or denies a person equal access to the University's education program or activity.
- c. <u>Title VII/FHA Sexual Harassment:</u> applies to situations where an employee is subjected to workplace sexual harassment or where a residential Complainant is subject to sexual harassment in University-provided housing where the following elements are present:
 - a. Unwelcome verbal, written, graphic, and/or physical conduct;
 - b. that is severe or pervasive and objectively offensive;
 - c. on the basis of sex/gender, that
 - d. unreasonably interferes with, limits, or effectively denies an individual's educational or employment access, benefits, or opportunities.
- d. <u>Sexual Assault</u>: An act of sexually motivated physical contact directed towards another person when the other person does not consent or is incapable of giving consent because of their age, temporary or permanent mental, or physical incapacity. This includes but is not limited to:
 - (a) <u>Rape:</u> Penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, or by a sex-related

- object, without their consent. This includes instances in which the Complainant is incapable of giving consent because of temporary or permanent mental or physical incapacity, including due to the influence of drugs or alcohol, or because of age.
- (b) <u>Criminal Sexual Contact:</u> The intentional touching of the clothed or unclothed body part(s) of the Complainant, for the purpose of sexual gratification, or sexual humiliation, or the forced touching by the Complainant of the actor's clothed or unclothed body parts, without consent of the Complainant for the purposes of sexual degradation, sexual gratification, or sexual humiliation.
- (c) <u>Incest:</u> Sexual intercourse between persons who are related to each other, within the degrees wherein marriage is prohibited by the State of Connecticut Law.
- (d) <u>Statutory Rape:</u> Non-forcible sexual intercourse, with a person who is under the statutory age of consent of 16, provided that the younger person is at least 13, or with a minor under age 13 if the actor is more than two years older than the minor. (For further information on sexual consent under Connecticut Law, see Connecticut General Statues Section 53a-71).
- e. <u>Dating Violence</u>: Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim; and where the existence of such a relationship shall be determined based on a consideration of the following factors:
 - a. The length of the relationship
 - b. The type of relationship
 - c. The frequency of interaction between the persons involved in the relationship
- f. Domestic Violence: As felony or misdemeanor crimes of violence committed between:
 - a. Individuals who are current of former spouses or intimate partners,
 - b. Persons who share a child in common,
 - c. Persons who currently live together or have formerly lived together as spouses or intimate partners,
 - d. A person similarly situated to a spouse of the victim under the domestic or family violence laws of the school's jurisdiction, or
 - e. Any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the school's jurisdiction/State of Connecticut
- g. <u>Stalking:</u> Engaging in a course of conduct directed at a specific person that would cause a reasonable person to fear for his or her safety of the safety of others or suffer substantial emotional distress. For the purpose of this definition:
 - a. Course of conduct means two or more acts, including, but not limited to, acts in which the Respondent directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person's property.

- b. Reasonable person means a reasonable person in the position of the Complainant and under similar circumstances.
- c. Substantial emotional distress means significant mental suffering or anguish that may but not necessarily require medical or other professional treatment or counseling.
- h. <u>Retaliation</u>: Intimidation, threats, coercion, or discrimination against any person by the University of New Haven, a student, or an employee or other person authorized by the University of New Haven to provide aid, benefit, or service under the University of New Haven's education program or activity, for the purpose of interfering with any right or privilege secured by Title IX, or because the person has reported information, made a Complaint, testified, assisted, or participated or refused to participate in any manner in an investigation, proceeding, or hearing under this Policy, including in an informal resolution process or the Grievance Procedures.

The University of New Haven reserves the right to impose any level of sanction, ranging from a reprimand up to and including suspension or expulsion/termination, for any offense under this policy.

b. Consent, Incapacitation, Force, and Coercion

As used in the offenses above, the following definitions and understandings apply:

<u>Force</u>: Force is the use of physical violence and/or physical imposition to gain sexual access. Force also includes threats, intimidation (implied threats), and coercion that is intended to overcome resistance or produce consent (e.g., "Have sex with me or I'll hit you," which elicits the response, "Okay, don't hit me, I'll do what you want.").

Sexual activity that is forced is, by definition, non-consensual, but non-consensual sexual activity is not necessarily forced. Silence or the absence of resistance alone is not consent. Consent is not demonstrated by the absence of resistance. While resistance is not required or necessary, it is a clear demonstration of non-consent.

<u>Coercion</u>: Coercion is unreasonable pressure for sexual activity. Coercive conduct differs from seductive conduct based on factors such as the type and/or extent of the pressure used to obtain consent. When someone makes clear that they do not want to engage in certain sexual activity, that they want to stop, or that they do not want to go past a certain point of sexual interaction, continued pressure beyond that point can be coercive.

Consent is:

Active, knowing, and voluntary, and clear permission by word or action to engage in sexual activity.

For consent to be valid, there must be a clear expression in words or actions that the other individual consented to that specific sexual conduct.

Consent can also be withdrawn once given, as long as the withdrawal is reasonably and clearly communicated. If consent is withdrawn, that sexual activity should cease immediately, meaning as soon as physically possible.

Consent to some sexual contact (such as kissing or fondling) cannot be presumed to be consent for other sexual activity (such as intercourse). A current or previous intimate relationship is not sufficient to constitute consent. If an individual expresses conditions on their willingness to consent (e.g., use of a condom) or limitations on the scope of their consent, those conditions and limitations must be respected.

Proof of consent or non-consent is not a burden placed on either party involved in a complaint. Instead, the burden remains on the University to determine whether its policy has been violated. The existence of consent is based on the totality of the circumstances evaluated from the perspective of a reasonable person in the same or similar circumstances, including the context in which the alleged misconduct occurred and any similar or previous patterns that may be evidenced.

Individuals may experience the same interaction in different ways. Therefore, it is the responsibility of each party to determine that the other has consented before engaging in the activity.

<u>Incapacitation</u>: Incapacitation occurs when someone cannot make rational, reasonable decisions because they lack the capacity to give knowing/informed consent (e.g., to understand the "who, what, when, where, why, or how" of their sexual interaction). Incapacitation is determined through consideration of all relevant indicators of an individual's state and is not synonymous with intoxication, impairment, and/or being drunk. A person cannot consent if they are unable to understand what is happening or are disoriented, helpless, asleep, or unconscious, for any reason, including due to the influence of alcohol or other drug consumption. As stated above, a Respondent violates this policy if they engage in sexual activity with someone who is incapable of giving consent.

A Respondent may reasonably have relied on a clear expression of consent from a person who was incapacitated only if the Respondent either knew nor should have known the Complainant to be physically or mentally incapacitated. "Should have known" is an objective, reasonable person standard that assumes that a reasonable person is both sober and exercising sound judgment.

This Policy also covers a person whose incapacity results from a temporary or permanent physical or mental health condition, involuntary physical restraint, and/or the consumption of incapacitating substances.

c. Other Sexual Misconduct Offenses

In addition to the forms of sexual discrimination and harassment described above, the University of New Haven additionally prohibits the following offenses as forms of sexual harassment that may be within or outside of Title IX. Violations of other University policies may fall within this Policy when the violation involves conduct that is directed at a specific person and the circumstances of such conduct would cause a reasonable person to believe that the conduct is directed at that specific person because of that person's sex. The inclusion of the enumerated acts of conduct on the below list or in any other University Policy does not suggest or indicate that they are not covered by or subject to Title IX.

A. **Sexual Exploitation**, defined as:

- a. An individual taking non-consensual or abusive sexual advantage of another,
- b. for their own benefit or for the benefit of anyone other than the person being exploited, and,
- c. that conduct does not otherwise constitute sexual harassment under this policy.

Examples of Sexual Exploitation include, but are not limited to:

- Sexual voyeurism (such as observing or allowing others to observe a person undressing or using the bathroom or engaging in sexual acts, without the consent of the person being observed);
- Invasion of sexual privacy;
- Taking pictures, video, or audio recording of another in a sexual act, or in any other sexuallyrelated activity when there is a reasonable expectation of privacy during the activity, without
 the consent of all involved in the activity, or exceeding the boundaries of consent (such as
 allowing another person to hide in a closet and observe sexual activity, or disseminating sexual
 pictures without the photographed person's consent), including the making or posting of
 revenge pornography;
- Prostituting another person;
- Engaging in sexual activity with another person while knowingly infected with human immunodeficiency virus (HIV) or a sexually-transmitted disease (STD) or infection (STI), without informing the other person of the infection;
- Causing or attempting to cause the incapacitation of another person (through alcohol, drugs, or any other means) for the purpose of compromising that person's ability to give consent to sexual activity, or for the purpose of making that person vulnerable to non-consensual sexual activity;
- Misappropriation of another person's identity on apps, websites, or other venues designed for dating or sexual connections;
- Forcing a person to take an action against that person's will by threatening to show, post, or share information, video, audio, or an image that depicts the person's nudity or sexual activity;
- Knowingly soliciting a minor for sexual activity;

- Engaging in sex trafficking; or,
- Knowingly creating, possessing or disseminating child pornography.

Members of the community are encouraged to be good digital citizens and to refrain from online misconduct, such as feeding anonymous gossip sites, sharing inappropriate content on social media, unwelcome sexual or sex-based messaging, distributing or threatening to distribute revenge pornography, breaches of privacy, or otherwise using the ease of transmission and/or anonymity of the Internet or other technology to harm another member of the University of New Haven community.

VI. Obligation to Report:

The University of New Haven classifies all employees, with the exception of those specifically designated as confidential resources, as Mandated Reporters of any knowledge they have that a member of the community is experiencing harassment, discrimination, and/or retaliation.

With the exception of designated confidential resources, all University of New Haven employees (faculty, staff, administrators) are required to report actual or suspected sexual harassment and/or misconduct to the Title IX Coordinator, or designee, immediately. Any mandated reporter (non-confidential employee) who has information of or has observed conduct that reasonably may constitute sex discrimination is under an obligation to report it to the Title IX Coordinator. If an employee becomes aware of any conduct that reasonably may constitute sex discrimination under Title IX, they must provide the contact information of the Title IX Coordinator and information about how to make a Complaint to the person reporting it.

If a Complainant would like the details of an incident to be kept confidential, the Complainant may speak with, the following individuals ("Confidential Employees"):

- On-campus licensed professional counselors and staff at the Counseling & Psychological Services;
- On-campus Health Services providers and staff;
- On-campus members of the clergy/chaplains working within the scope of their licensure or ordination, which includes the University's Chaplain; and/or

Off-campus resources not employed by the University may also be able to maintain confidentiality:

- Licensed professional counselors and other medical providers;
- Local rape crisis counselors, including the advocates with the Rape Crisis Center of Milford;
- Domestic violence resources;
- Local or state assistance agencies;
- Clergy/Chaplains; and/or,
- Attorneys.

Confidential Employees must explain to the person informing them of the conduct, their status as confidential for purposes of this Policy, including the circumstances in which the employee is not required to notify the Title IX Coordinator about conduct that reasonably may constitute sex discrimination. Confidential Employees should notify the reporting individual of how to contact the Title IX Coordinator and how to make a complaint of sex discrimination, and notice that the Title IX

Coordinator may be able to offer and coordinate supportive measures, as well as initiate an informal resolution process or an investigation under the Grievance Procedures.

All of the above-listed confidential employees will maintain confidentiality when acting under the scope of their licensure, professional ethics, and/or professional credentials, except in extreme cases where there exists an immediate threat or danger or abuse of a minor, or when required to disclose by law or court order.

University clinicians/counselors [and/or the Employee Assistance Program] are available to help free of charge and may be consulted on an emergency basis during normal business hours.

Parties reporting sexual assault, domestic violence, dating violence, and/or stalking should be aware that under the Clery Act, the University must issue timely warnings for incidents reported to them that pose a serious or continuing threat of bodily harm or danger to members of the campus community.

The University will ensure that a Complainant's name and other identifying information is not disclosed within the timely warning(s), while still providing enough information for community members to make safety decisions in light of the potential danger. University employees who are confidential, and who receive reports within the scope of their confidential roles, will timely submit anonymous statistical information for Clery Act purposes unless they believe it would be harmful to their client or patient.

VII. Pregnancy or Related Conditions:

The University of New Haven prohibits discrimination against students, employees, or applicants based on pregnancy or related conditions. The University of New Haven takes actions to prevent sex discrimination and ensure equal access to its education program or activity, such as by providing reasonable modifications for students, reasonable break time for lactation for employees, and lactation space for students and employees. Additionally, unless the employee reasonably believes that the Title IX Coordinator has been notified, all mandated reporters are required to either inform a pregnant student, or person who has a legal right to act on behalf of the student, of the Title IX Coordinator's contact information and/or contact the Title IX Coordinator so they can provide outreach to the student to prevent sex discrimination and ensure the student's equal access to the University of New Haven's education program or activity. This obligation to provide the information and/or contact the Title IX Coordinator arises when a student, or a person who has a legal right to act on behalf of the student, first informs that same employee of that student's pregnancy or related conditions, and when the employee reasonably believes the Title IX Coordinator has not already been notified.

VIII. Supportive Measures

The University of New Haven will offer and implement appropriate and reasonable supportive measures to the Parties upon notice of alleged Prohibited Conduct.

Supportive measures are non-disciplinary, non-punitive individualized services offered as appropriate, as reasonably available, and without fee or charge to the Parties, to restore or preserve access to the

University of New Haven's education program or activity, including measures designed to protect the safety of the Parties or the University of New Haven's educational environment, and/or deter sexual harassment, misconduct, and/or Retaliation.

Supportive measures may also be imposed at the sole discretion of the Title IX Coordinator. Supportive measures are available beginning at any time after the submission of a report of Prohibited Conduct. A Complainant may seek and be provided Supportive Measures prior to or without ever initiating a Complaint. Parties will be provided with a timely opportunity to seek, from an appropriate and impartial employee, modification, or reversal of any decisions regarding supportive measures. Additionally, if a student has a disability, the Title IX Coordinator will coordinate with the Accessibility Resources Center to provide the student with reasonable accommodation(s) throughout the implementation of the Grievance Procedures, including, but not limited to, the implementation of supportive measures.

The University of New Haven will implement measures in a way that does not unreasonably burden the other Party. Supportive measures will not be communicated to anyone other than the Party receiving them unless it is necessary to implement them.

Supportive measures may include, but are not limited to:

- Referral to counseling, medical, and/or other healthcare services;
- Extensions of deadlines or other course-related adjustments;
- Modification of work or class schedules;
- Mutual restrictions on contact between the Parties (i.e., "No Contact Orders");
- Altering work arrangements for employees or student-employees;
- Altering campus housing assignments;
- Safety Planning
- Employee Assistant Program ("EAP")
- Visa and immigration assistance
- Increased security and monitoring of certain areas or campus
- Implementing trespass, Persona Non Grata (PNG), or Be-On-the-Lookout (BOLO) orders

A student or employee's failure to abide by the terms of any supportive measure may result in discipline and, depending on the circumstances, could be deemed to constitute retaliation. Violations of No Contact Orders will be referred to the appropriate student or employee conduct processes for enforcement. Orders of Protection, No Contact Orders, restrictive or similar orders issued by a criminal, civil, or tribal course will be administered by the University of New Haven as written.

Refer to Section XIII for contact information of available local, statewide, and national resources.

IX. Preservation of Evidence

The preservation of evidence in incidents of sexual assault, and similar sexual misconduct incidents, is critical to potential criminal prosecution and to obtaining restraining orders and is particularly time sensitive. The University will inform the Complainant of the importance of taking the following actions to preserve evidence:

- a. Seek forensic medical assistance at Yale New Haven Hospital or the applicable local hospital, ideally within 120 hours of the incident (sooner is better).
- b. Avoid showering, bathing, washing hands or face, or douching, if possible, but evidence may still be collected even if you do.
- c. Try not to urinate.
- d. If oral sexual contact took place, refrain from smoking, eating, drinking, or brushing teeth
- e. If clothes are changed, place soiled clothes in a paper bag (plastic destroys evidence).
- f. Seeking medical treatment can be essential even if it is not for the purposes of collecting forensic evidence.
- g. Evidence in the form of text and voice messages will be lost in most cases if the Complainant changes their phone number.
 - i. Take screenshots and/or a video recording of any text messages or other electronic messages (e.g., Instagram, Snapchat, Facebook).
 - ii. Save copies of any messages, to include those showing any request for no further contact.
- h. Save copies of e-mail and social media correspondence, including notifications related to account access alerts.
- i. Take timestamped photographs of any physical evidence including notes, gifts, etc. in place when possible.
- j. Obtain copies of call logs showing the specific phone number being used rather than a saved contact name if possible.

During the initial meeting between the Complainant and the Title IX Coordinator, the importance of taking these actions will be reiterated, if timely.

X. Formal Grievance Procedures for Alleged Violations

This portion of the Policy outlines the steps taken to initiate a Complaint, as well as US Department of Education's procedural requirements for investigation and adjudication of Complaints. Alleged misconduct that falls under Title IX or Sexual Misconduct will be subject to the same Grievance Procedures described in this Policy unless otherwise noted.

The University of New Haven will act on any formal or informal notice/complaint of violation of this policy that is received by the Title IX Coordinator or any other Official with Authority by applying these procedures; however, in order to commence Formal Grievance Procedures, a Complainant <u>must</u> submit a report, in written form, to the Title IX Coordinator. The Formal Complaint must contain the complainant's physical or digital signature, or some other indication that the complainant is the person filing it.

There is no time limit within which a Complainant must report a Complaint. However, at the time a Complaint is reported for Title IX Sexual Harassment, the Complainant must be participating or attempting to participate in the University of New Haven's program or activities. When notice/complaint is received, the University will typically apply the policy in place at the time of the alleged misconduct, but the procedures in place at the time of notice/complaint.

The Title IX Coordinator will monitor the University of New Haven's education program or activity for barriers to reporting information about conduct that reasonably may constitute sex discrimination under Title IX; and take steps reasonably calculated to address such barriers.

Upon receipt of any report of alleged sex discrimination, the Title IX Coordinator will treat the Complainant and Respondent equitably and promptly contact the Complainant to discuss the availability of supportive measures and explain the Grievance Procedures (or option for requesting an informal resolution). The Title IX Coordinator will inform the Complainant of the availability of supportive measures and will consider the Complainant's wishes with respect to supportive measures.

Supportive measures will be assessed and may be offered as needed to Complainants, Respondents and other members of the University of New Haven community who may have been affected by the allegations at issue. See Section VII.C. regarding supportive measures.

Following receipt of notice or a formal complaint of an alleged violation of this Policy, the Title IX Coordinator engages in a prompt threshold investigation. The steps in a threshold investigation can include to determine whether to initiate a Complaint of sex discrimination that complies with the Grievance Procedures by considering the following factors:

- 1. The Complainant's request not to proceed with initiation of a Complaint;
- 2. The Complainant's reasonable safety concerns regarding initiation of a Complaint;
- 3. The risk that additional acts of sex discrimination would occur if a Complaint is not initiated;
- 4. The severity of the alleged sex discrimination, including whether the discrimination, if established, would require the removal of a Respondent from campus or imposition of another disciplinary Sanction to end the discrimination and prevent its recurrence;
- 5. The age and relationship of the Parties, including whether the Respondent is an employee of the University of New Haven;
- The scope of the alleged sex discrimination, including information suggesting a pattern, ongoing sex discrimination, or sex discrimination alleged to have impacted multiple individuals;

- 7. The availability of evidence to assist a decisionmaker in determining whether sex discrimination occurred; and
- 8. Whether the University of New Haven could end the alleged sex discrimination and prevent its recurrence without initiating its Grievance Procedures

For other limited purposes; provided that if a Complaint is initiated, the Title IX Coordinator will fulfill the terms of this Grievance Procedures, including the notice provisions immediately below and the more thorough investigation process described below even if it is somewhat duplicative of the threshold investigation. If the Respondent is a current employee, a representative of Human Resources may participate in the threshold investigation.

The Title IX Coordinator, for employee matters, may place a non-student employee Respondent on administrative leave during the pendency of the Grievance Procedures.

A Title IX Coordinator is not required to comply with this section of the Policy if the Title IX Coordinator reasonably determines that the conduct as alleged could not constitute sex discrimination under Title IX or this part.

A. Notice of Allegations

The Title IX Coordinator will provide written notice of the investigation and allegations (the "NOIA") to the Complainant and Respondent upon commencement of the Grievance Procedures.

The NOIA will include:

- a. Notice of the University of New Haven Grievance Procedures and informal resolution process by providing access to this policy.
- b. Notice of the allegations potentially constituting sexual harassment, including sufficient details known at the time. Sufficient details are defined in the Title IX Regulations to include:
 - i. The identities of the Parties involved in the incident, if known;
 - ii. The conduct allegedly constituting sexual harassment;
 - iii. The date and location of the alleged incident, if known.
- c. Per the Title IX Regulations, the written notice must also include the following statements:
 - i. The Respondent is presumed not responsible for the alleged conduct
 - ii. A determination regarding responsibility is made at the conclusion of the Grievance Procedures
 - iii. The Parties may have an advisor of their choice, who may be, but is not required to be, an attorney.
 - iv. The Parties may inspect and review evidence
 - v. The Parties are prohibited from knowingly making false statements or knowingly submitting false information during the Grievance Procedures

- vi. The notice must be given as soon as practicable and with sufficient time to prepare a response before any initial investigation interview
- vii. Details on how the Party may request disability accommodations during the interview process
- viii. A link to the University's Know Your Rights & Options (VAWA Compliant)

 Brochure
- ix. The name(s) of the Investigators(s), along with a process to identify, in advance of the interview process, to the Title IX Coordinator any conflict of interest that the Investigator(s) may have
- x. An instruction to preserve any evidence that is relevant to the allegations
- xi. Retaliation is prohibited
- **d.** Amendments and updates to the NOIA may be made as the investigation progresses and more information becomes available regarding the addition or dismissal of various charges. If the University of New Haven intends to investigate allegations not included in the original NOIA, additional notice will be provided prior to commencing the investigation.

B. Emergency Removal

If at any point following the receipt of a report of sex discrimination, the University of New Haven determines that the Respondent poses an immediate threat to the physical health, safety, etc. of the Complainant or any other person(s), including the Respondent, the University of New Haven may temporarily remove the Respondent from any or all of its programs or activities. The imposition of an emergency removal does not suggest a finding of responsibility for any Prohibited Conduct.

This risk analysis is performed by the Title IX Coordinator in conjunction with the Behavioral Intervention Team ('BIT') using its standard objective violence risk assessment procedures. An emergency removal will be imposed only if BIT concludes that the threat to physical health or safety arises from the allegations of Prohibited Conduct and warrants the removal.

An emergency removal may involve the denial of access to some or all of the University of New Haven's campus facilities, academic programs, or other programs or activities. While the University of New Haven may provide alternative academic or employment opportunities during an emergency removal, it is not required to do so. Non-punitive actions taken as supportive measures (e.g., changes in housing) do not constitute emergency removals.

The Title IX Coordinator will notify the Respondent of the terms imposed in connection with an emergency removal. The Respondent has the opportunity to challenge the emergency removal upon receipt of that notice. In order to challenge the emergency removal, the Respondent shall submit their challenge via email to rsalters@newhaven.edu within five (5) calendar days from the date of the notice of emergency removal, explaining why the emergency removal is not appropriate. The Title IX

Coordinator has sole discretion under this policy to implement or stay an emergency removal and to determine the conditions and duration.

Violation(s) of an emergency removal under this policy will be ground for discipline, which may include expulsion or termination.

Where the Respondent is an employee, existing provisions for interim actions are applicable. This section also applies to any restrictions that a coach or athletic administrator may place on a student-athlete arising from allegations related to Title IX.

There is no appeal process for the final emergency removal decisions.

C. Informal Resolution

At any time prior to reaching a determination regarding responsibility, the University of New Haven may facilitate an informal resolution process, such as mediation, that does not involve a full investigation and adjudication. Both Parties must agree to participate, in writing, in an informal resolution process. If they do, the Formal Grievance Procedure is paused, and the informal resolution process commences. Either Party may withdraw from the informal process and start or re-start the Formal Grievance Procedures at any time before an informal resolution is reached. The University of New Haven will not require the Parties to participate in an informal resolution process and will not require them to waive their rights to the Grievance Procedures.

The University of New Haven will not offer or facilitate an informal resolution process to resolve allegations that an employee sexually harassed a student.

The University of New Haven may decline to offer an informal resolution even if both Parties request an informal resolution, for example, if the University determines the alleged conduct would present a future risk of harm to others.

Prior to facilitating an informal resolution process, the University of New Haven will:

- 1. Provide written notice to the Parties disclosing the following:
 - a. The allegations;
 - The requirements of the informal resolution process, including the circumstances under which an informal resolution agreement would preclude the Parties from resuming a Complaint arising from the same allegations;
 - c. The fact that, at any time prior to agreeing to an informal resolution, any Party may withdraw from the informal resolution process and resume the Grievance Procedures;
 - d. Any consequences resulting from participating in the informal resolution process, including the records that will be maintained or could be shared;

- e. The potential terms that may be requested or offered in an informal resolution agreement, including notice that an informal resolution agreement is binding only on the Parties; and
- f. What information the University of New Haven will maintain and whether and how the University of New Haven could disclose such information for use during the Grievance Procedures if Grievance Procedures are initiated or resumed; and
- 2. Obtain the Parties' voluntary, written consent to the informal resolution process.

If the Parties agree to a resolution during an informal resolution process, the Complaint, if filed, will be deemed withdrawn and the Grievance Procedures will be terminated. However, the resolution will be considered binding, and its breach will give rise to a new Grievance Procedures, which may restart the Grievance Procedures.

An informal resolution may take many forms as agreed to between the Complainant, Respondent and Title IX Coordinator, including, but not limited to:

- Mediation: Mediation may involve the Complainant and Respondent being in the same or different rooms, but they will never be required to be in the same room. Mediation does not require an admission of responsibility for the Prohibited Conduct by the Respondent.
- Restorative justice: Restorative justice may involve the Complainant and Respondent being in the same or different rooms, but they will never be required to be in the same room.
 Restorative justice typically requires an admission of responsibility for the Prohibited Conduct, or certain allegations, by the Respondent.

The outcome of the Informal Resolution will be documented in an agreement or other form that is signed by both the Complainant and the Respondent.

D. Recording of Interviews

No unauthorized audio or video recording of any kind is permitted during investigation meetings. If an Investigator(s) elects to audio and/or video record interviews, all involved parties must be made aware of and consent in writing or on the audio or video recording to audio and/or video recording.

E. Investigation Process

The Title IX Coordinator will appoint an Investigator(s) to investigate the allegations subject to the Grievance Procedures. All investigations are thorough, reliable, impartial, and fair. The Grievance Procedures will include reasonably prompt timeframes for the major stages of the Grievance Procedures, including a process that allows for the reasonable extension of timeframes on a case-by-case basis for good cause with notice to the Parties that includes the reason for the delay. Major stages include, for example, evaluation (i.e., the University of New Haven's decision whether to dismiss or investigate a Complaint of sex discrimination); investigation; determination; and appeal, if any. The

Grievance Procedures also will ensure that reasonable steps are taken to protect the privacy of the Parties and witnesses during the pendency of the University of New Haven's Grievance Procedures, provided that the steps do not restrict the ability of the Parties to obtain and present evidence, including by speaking to witnesses, consult with their family members, confidential resources, or advisors, or otherwise prepare for or participate in the Grievance Procedures.

The investigation may include, among other steps, interviewing the Complainant, the Respondent, and any witnesses; reviewing law enforcement investigation documents if applicable; reviewing relevant student or employment files; and gathering and examining other relevant documents, social media, and evidence.

The Parties have a full and fair opportunity, through the investigation process, to suggest witnesses and questions, to provide evidence and expert witnesses, and to fully review and respond to all evidence on the record.

The investigator(s) will attempt to collect all relevant information and evidence. While the Investigator(s) will have the burden of gathering evidence, it is crucial that the Parties present evidence and identify witnesses to the Investigator(s) so that they may be considered during the investigation. While all evidence presented at a hearing by the Parties will be considered, the Hearing Facilitator(s) may, in its/their discretion consider relevant evidence. The Investigator(s) will take reasonable steps to prevent and address unauthorized disclosure of evidence.

The investigation file should contain all information gathered during the investigation that is potentially relevant to the alleged misconduct; the Investigator(s) should not filter or exclude evidence or decide the weight or credibility of evidence, unless the evidence is clearly irrelevant or not pertinent to the facts at issue.

Following the investigation, the Investigator(s) will draft an investigation report succinctly describing all collected information. The Investigator(s) will not make any recommendation as to whether a policy violation has occurred or potential Sanctions.

Evidentiary Considerations:

The investigation does not consider: 1) incidents not directly related to the possible violation, unless they evidence a pattern; 2) the character of the Parties; 3) evidence protected under a privilege defined by federal or CT law; or 4) a Party's or witness's records maintained by a physician, psychologist, or other recognized professional or paraprofessional in connection with the treatment to the Party or witness; or 5) questions and evidence about the Complainant's sexual predisposition or prior sexual behavior, unless such questions and evidence about the Complainant's prior sexual behavior are offered to prove that someone other than the Respondent committed the conduct alleged by the Complainant, or if the questions and evidence concern specific incidents of the Complainant's prior sexual behavior with respect to the Respondent and are offered to prove consent. The fact of prior consensual sexual conduct between the Complainant and Respondent does not by

itself demonstrate or imply the Complainant's consent to the alleged Sexual Harassment or preclude determination that Sexual Harassment occurred.

Investigation Report:

The Investigator(s) will prepare an investigation report summarizing all relevant evidence. The report will exclude all non-relevant evidence, as well as any evidence not subject to disclosure for reasons set forth herein (e.g., medical records the Party has not authorized for disclosure).

Prior to completing the investigation report, the Investigator(s) will send to both Complainant and Respondent, and their Advisors, if identified, all evidence obtained as part of the investigation that is considered relevant to the allegations raised in the Complaint, regardless of whether it is anticipated that the evidence will be used at the hearing or in connection with any decision on responsibility, along with a draft investigative report. The Parties are strictly prohibited from disclosing or disseminating the evidence to any third parties, except their Advisor, and from using it for purposes other than carrying out the Grievance Procedures. Complainant and Respondent will have ten (10) business days to provide a written response by email to the Investigator concerning the evidence and or the investigative report, including identifying additional evidence for the Investigator's consideration prior to completing the final investigative report. The response must be by the Party, not the Party's Advisor. A Party's response should be shared with the other Party.

The Investigator(s) may share the report with the Title IX Coordinator and/or legal counsel for their review and feedback. The Investigator(s) will incorporate any relevant feedback, and the final report will then be shared with the Parties and their Advisors through secure electronic transmission at least ten (10) business days prior to a hearing. The Parties are also provided with a file of any relevant evidence that was not included in the report.

<u>Hearing Notice/Referral for Hearing:</u>

Once the final investigation report is shared with the Parties, the Title IX Coordinator will refer the matter for a hearing. The hearing cannot be less than ten (10) business days from the conclusion of the investigation when the final investigation report is transmitted to the Parties and the member of the Hearing Panel that will act as the Hearing Facilitator and serve as the decision-maker.

The Title IX Coordinator will select an appropriate Hearing Facilitator(s) from the Hearing Panel depending on whether the Respondent is an employee or a student. Allegations involving student-employees in the context of their employment will be directed to the appropriate Hearing Facilitator depending on the context and nature of the alleged misconduct. When a Complainant or Respondent is both a student and an employee of the University of New Haven, the University of New Haven will make a fact-specific inquiry to determine whether the requirements of this Policy apply. In making this determination, the University of New Haven will consider whether the Party's primary relationship with the University of New Haven is to receive an education and whether the alleged sex discrimination

occurred while the Party was performing employment-related work. The Hearing Facilitator may be a hired party outside of the University.

After the investigation report has been provided and not fewer than ten (10) business days before the hearing, the Title IX Coordinator will issue a hearing notice via email advising the Parties of the following:

- A description of the alleged violation(s), a list of all policies allegedly violated, a description of the applicable hearing procedures
- The date, time, and location of the hearing
- Information about the option for the live hearing to occur with the Parties located in separate rooms using technology that enables the Hearing Facilitator(s) and Parties to see and hear a Party or witness answering questions. Such a request must be raised with the Title IX Coordinator at least five (5) business days prior to the hearing.
- Any technology that will be used to facilitate the hearing
- Information on how the hearing will be recorded
- A list of those who will be attending the hearing
- Requirement of an Advisor for the day of the hearing for any questions they may desire to ask (the Party must notify the Title IX Coordinator if they do not have an Advisor, and the University of New Haven will appoint one, provided however, the University is not required to appoint an attorney-advisor, even if the other Party has an attorney-advisor). This includes the ability for each Party to propose questions that the Party wants asked of any Party or witness and have those questions asked by the Decisionmaker and allows each Party's Advisor to ask any Party or witness such questions, each subject to the relevancy requirements. Such questioning must never be conducted by a Party personally. If a Party does not have an Advisor to ask questions on their behalf, the University of New Haven will provide the Party with an Advisor, without charge to the Party, for the purpose of Advisor-conducted questioning.
- An invitation to each Party to submit to the Hearing Facilitator an impact statement pre-hearing that the Hearing Facilitator will review during any Sanction determination.
- An invitation to contact the Title IX Coordinator to arrange any disability accommodations, such as: language assistance and/or interpretation services that may be needed at the hearing, at least seven (7) business day prior to the hearing.

Hearings for possible violations that occur near or after the end of an academic term (assuming the Respondent is still subject to this Policy) and are unable to be resolved prior to the end of term will typically be held immediately after the end of the term or during the summer, as needed.

In these cases, if the Respondent is a graduating student, a hold may be placed on graduation and/or official transcripts until the matter is fully resolved (including any appeal). A student facing charges under this Policy is not in good standing to graduate.

F. Dismissal(s) (Mandatory or Discretionary)

- 1. The University of New Haven <u>must</u> dismiss a formal complaint or any allegations therein if, at any time during the investigation or hearing, it is determined that:
 - a. The conduct alleged in the formal complaint would not constitute sexual harassment as defined above, even if proved; and/or
 - The conduct did not occur in an educational program or activity controlled by the University
 of New Haven (including buildings or property controlled by recognized student
 organizations), and/or the Respondent is not a member of the University Community;
 and/or
 - c. The conduct did not occur against a person in the United States; and/or
 - d. At the time of filing a formal complaint, a complainant is not participating in or attempting to participate in the education program or activity of the recipient.
- 2. The University <u>may</u> dismiss a formal complaint or any allegations therein if, at any time during the investigation or hearing:
 - a. A Complainant notifies the Title IX Coordinator in writing that the Complainant would like to withdraw the formal complaint or any allegations therein; or
 - b. The Respondent is no longer enrolled in or employed by the recipient; or
 - c. Specific circumstances prevent the recipient from gathering evidence sufficient to reach a determination as to the formal complaint or allegations therein; or,
 - d. The University determines the conduct alleged in the complaint, even if proven, would not constitute sex discrimination under Title IX. Prior to dismissing the complaint for this reason, the University must make reasonable efforts to clarify the allegations in the complaint.
- 3. In all such circumstances, supportive measures may be continued.
- 4. Under F.1.b.:
 - a. The Respondent's student or employment records may be marked to indicate their departure during a disciplinary process (which may resume if they return to the University of New Haven but will not indicate that such Respondent was found or assumed responsible for any alleged misconduct pending at the time of departure).
 - b. The Respondent may be required to notify the Title IX Coordinator if they intend to visit any building owned or controlled by the University of New Haven or a student organization that is officially recognized by the University of New Haven, or if they otherwise seek to attend any University of New Haven education program or activity or event, so that the Complainant may be given an opportunity to receive supportive measures if needed.
- 5. The University of New Haven will provide the Parties, simultaneously, with written notice of the dismissal and the basis for the dismissal, except if the dismissal occurs before the Respondent

- has been notified of the allegations, in which case written notice will be provided only to the Complainant.
- 6. The dismissal of one or more allegations does not prevent the remaining allegations from proceeding under the Grievance Process provided herein. Dismissal is solely a procedural requirement of Title IX and does not prevent the University from proceeding with a Grievance Process or imposing discipline under this sexual misconduct policy or other University policies.
- 7. This dismissal decision is appealable by any party under the procedures for appeal below. The University will notify the Complainant of its right to appeal a dismissal.

G. Adjudication

- 1. Adjudication via hearing
 - a. The University of New Haven's Grievance Procedures will culminate in a live hearing before one or more Hearing Facilitators, who will consider all evidence presented (subject to the terms below) and determine whether a Respondent is responsible or not responsible for a violation of this Policy, based on a preponderance of evidence standard; whether it is more likely than not that the Respondent violated the Policy as alleged. Under that standard, the burden of proof is met, and a Respondent may be found responsible for a policy violation, if the Decisionmaker(s) determines that it is more likely than not that the Respondent committed the conduct alleged. Respondents are entitled to, and will receive the benefit of, a presumption that they are not responsible for the alleged conduct until the Grievance Procedures concludes and a determination regarding responsibility is issued. If the Respondent is found responsible for a violation of this policy, the Respondent may be subjected to Sanctions.
 - b. The Hearing Facilitator/Decisionmaker(s) will be selected by the Title IX Coordinator and may vary based on the enrollment or employment status of the Respondent. The Hearing Facilitator(s) will not be the same person as the Title IX Coordinator or the Investigator.
 - c. At the request of either Party, the University of New Haven will allow the live hearing to take place with the Parties located in separate rooms. Technology must enable the adjudicator(s) and Parties to simultaneously see and hear the Party or witness answering questions. Live hearings may, then, be conducted with the Parties physically present in the same location, or any or all parties, witnesses and other participants may appear at the live hearing virtually.

2. Information Submission

a. The Title IX Coordinator will identify the Hearing Facilitator(s) to the Parties five (5) days in advance of the hearing. Either Party may challenge a named Hearing Facilitator if

believed to have a conflict of interest or bias. A challenge must be delivered in writing to the Title IX Coordinator at least two days in advance of the hearing, specifying the reasons for such belief. The Title IX Coordinator has sole discretion to keep or replace the challenged Hearing Facilitator, and if replaced, will postpone the hearing to allow for a replacement Hearing Facilitator.

- b. Five (5) days in advance of the hearing, the Parties will identify their expected attendees (including any advisor) and their expected witnesses (including themselves), including the witnesses' expected sequence, via writing to the Title IX Coordinator, who will supply the disclosure to the other Party so that they can be prepared. The Parties will not be strictly bound to their disclosures, but they should be submitted in good faith.
- c. Typically, the Parties will be in charge of choosing and supplying their own witnesses at the hearing. When necessary for the pursuit of truth and to gather evidence sufficient to reach a determination, the Decisionmaker(s) will have discretion to ask the Title IX Coordinator to request additional witnesses after receipt of the Parties' witness lists recognizing, however, that the school has no ability to compel any witness to attend. Any such requested witness will be disclosed to the Parties.
- d. Any party or witness may choose not to offer evidence and/or answer questions at the hearing, either because they do not attend the hearing, or because they attend but refuse to participate in some or all questioning. The Decision-maker(s) can only rely on whatever relevant evidence is available through the investigation and hearing in making the ultimate determination of responsibility. The Decision-maker(s) may not draw any inference solely from a party's or witness's absence from the hearing or refusal to submit to cross-examination or answer other questions.

3. Evidence

The Hearing Facilitator is responsible for making all determinations of relevance as to witnesses, questions and documentary evidence presented at the hearing. For purposes of this policy, "relevant" means that the evidence is evidence that tends to prove or disprove an issue in the complaint. Evidence that is not relevant will be excluded at the hearing and may not form the basis for any decision by the Hearing Facilitator. Evidence that is duplicative of evidence already in the hearing record may be deemed not relevant. Questions and evidence about the Complainant's sexual predisposition or prior sexual behavior are not relevant, unless such questions and evidence about the Complainant's prior sexual behavior are offered to prove that someone other than the Respondent committed the alleged conduct or if the questions and evidence concern a specific incident of the Complainant's prior sexual

behavior with respect to the Respondent and are offered to prove consent. The Decisionmaker will explain any decision to exclude evidence or a question as not relevant or otherwise impermissible.

At the hearing, all relevant evidence will be objectively evaluated. Credibility determinations will not be based on a person's status as a Complainant, Respondent, or witness.

The Title IX Regulations state that questions and evidence about the Complainant's sexual predisposition or prior sexual behavior are not relevant, unless:

- Offered to prove that someone other than the Respondent committed the conduct alleged or
- If the questions and evidence concern specific incidents of the Complainant's prior sexual behavior with respect to the Respondent and are offered to prove consent.
- Impermissible evidence must be excluded regardless of relevance, which includes:
 - Evidence under privilege protected by law or provided to confidential employee, unless there is a voluntary waiver
 - o Treatment records, unless there is voluntary, written consent
- If a Decisionmaker determines that a Party's question is relevant and not otherwise impermissible, then the question must be asked except that a postsecondary institution must not permit questions that are unclear or harassing of the Party or witness being questioned.
- The Decisionmaker must give a Party an opportunity to clarify or revise a question that the Decisionmaker has determined is unclear or harassing and, if the Party sufficiently clarifies or revises a question to satisfy the terms of this paragraph, the question must be asked.
- Refusal to respond to questions an inference based on refusal to respond to questions. A
 Decisionmaker may choose to place less or no weight upon statements by a Party or witness
 who refuses to respond to questions deemed relevant and not impermissible. The
 Decisionmaker must not draw an inference about whether sex discrimination occurred based
 solely on a Party's or witness's refusal to respond to such question.

4. Recording Hearings

Hearings (but not deliberations) are recorded by the University for purposes of review in the event of an appeal. The Parties may not record the proceedings as unauthorized recordings are prohibited.

The Hearing Facilitator(s), the Parties, their Advisors, and appropriate administrators of the University of New Haven will be permitted to listen to the recording in a controlled environment determined by the Title IX Coordinator. No person will be given or be allowed to make a copy of the recording without the permission of the Title IX Coordinator.

5. Cross-examination

As stated above, each Party may be accompanied to the hearing by the Advisor of their choice. The Advisor may be, but is not required to be, an attorney. Advisors may be present solely to advise or support the Party and are prohibited from speaking directly to the investigator, Hearing Facilitator(s), other parties, or witnesses during the hearing, except for conducting cross examination. At the hearing, each Party's Advisor is permitted to ask the other party and any witnesses all relevant questions and follow-up questions, including those challenging credibility. Such cross examination at the live hearing must be conducted directly, orally, and in real time by the Party's Advisor of choice, and never by a Party personally. However, the Hearing Facilitator(s) will typically ask questions before either Advisor.

If a Party does not have an Advisor present at the live hearing, the University of New Haven will provide without fee or charge to that Party, an Advisor who may be, but is not required to be, an attorney, to conduct cross-examination on behalf of that Party.

- If a Party does not have an Advisor for the hearing, the Party must notify the Title IX Coordinator no later than the Party's pre-hearing disclosures. Otherwise, the hearing may be delayed, and the appointed Advisor may have less time to prepare for the hearing.
- Process for appointing Advisor: The Title IX Coordinator will assign based on a pool of trained advisors.

Only relevant cross-examination and other questions may be asked of a Party or witness. Before a Party or witness answers a cross-examination or other question, the Hearing Facilitator(s) must first determine whether the question is relevant and explain any decision to exclude a question as not relevant.

6. Deliberation, Determination Regarding Responsibility, and Standard of Proof

The Hearing Facilitator (s) will issue a written determination following the hearing. Based on the preponderance of the evidence, the Decisionmaker(s) will decide if the Respondent is responsible for engaging in Prohibited Conduct, and if so, what disciplinary action may be appropriate.

The written determination will include:

- Identification of the allegations potentially constituting sex discrimination or sexual harassment;
- 2. A description of the procedural steps taken from the receipt of the Complaint through the determination;
- 3. Findings of fact supporting the determination;
- 4. Conclusions regarding the application of this Policy to the facts;
- 5. A statement of, and rationale for, the result as to each allegation, including:
 - a. A determination regarding responsibility;

- b. If the Respondent is found responsible, any disciplinary Sanctions the Decisionmaker(s) imposes on the Respondent;
- Whether remedies designed to restore or preserve equal access to the University
 of New Haven's education program or activity will be provided to the
 Complainant; and
- d. Procedures and permissible bases for the Parties to appeal the determination.

The written determination will be provided to the Parties simultaneously by the Title IX Coordinator.

Supportive measures also may be provided to the Complainant that are designed to restore or preserve equal access to the University of New Haven's education program or activity, even if they are not listed in the written determination. Remedies and supportive measures that do not impact the Respondent should not be disclosed in the written determination; rather, the determination should simply indicate that remedies will be provided to the Complainant. The Title IX Coordinator is responsible for effective implementation of any remedies and supportive measures.

7. Sanctions and Remedies

If the Respondent is found responsible for any Prohibited Conduct, the Title IX Coordinator will provide the Hearing Facilitator with the Respondent's prior conduct record for consideration in the Hearing Facilitator's assignment of a Sanction or Sanctions.

The sanctions described in this policy are not exclusive of, and may be in addition to, other actions taken or sanctions imposed by external authorities.

Student Sanctions: The following are the usual sanctions that may be imposed upon students or organizations singly or in combination:

- Warning: A formal notice that the conduct has violated institutional regulations and a warning that further violation of any University of New Haven policy, procedure, or directive will result in more severe disciplinary actions.
- Loss of Privileges: Denial of specific privileges for a designated period of time. Such action includes but it not limited to loss of use of a specific University facility, campus motor vehicle parking and operating privileges, and social privileges. A date will be specified after which the student may regain those privileges.
- Probation: A written reprimand for a specific violation. Probation is for a designated period of
 time during which a student must show a positive change in behavior and includes the
 probability of more severe disciplinary sanctions if the student is found to violate any
 institutional regulation(s) during the probationary period. Disciplinary probation is a status
 which may involve restrictions, conditions, or terms imposed for a definite period of time which
 may include but are not limited to ineligibility to participate in University activities or events,
 periodic contact or counseling with a designated member of the University community,

- restrictions on access to University facilities and/or housing areas, and change of housing assignment, no-contact orders, and/or other measures deemed appropriate.
- University Suspension: Separation of the student from the University for a definite period of time during which the student is excluded from classes, residence on campus, and all privileges and activities of the University. During a period of suspension, a student is not permitted on University property without the written permission of the Dean of Students. Suspension is recorded on the student's academic record for the period of the suspension and removed upon completion of the suspension period. Upon termination of the suspension period, the student may petition the Dean of Students for reinstatement to their former status; the Dean must approve readmission/reinstatement. The University reserves the right to readmit or deny readmission to a student following a period of suspension.
- University Expulsion: Permanent termination of student status without the possibility of
 readmission and revocation of rights to be on campus for any reason or to attend Universitysponsored events. A sanction of expulsion must be reviewed and approved by the Chief Student
 Affairs Officer and President before it becomes effective. Expulsion is recorded on the student's
 academic record.
- Withholding of Degree: The University may withhold the awarding of a degree otherwise earned until completion of the process set forth in this Student Code of conduct, including completion of any sanctions imposed.
- Revocation of Admission and/or Degree: Admission to the University or a degree awarded from
 the University may be revoked for fraud, misrepresentation, or other violation of University
 standards in obtaining the degree, or for other serious violations committed by a student prior
 to graduation.
- Sanctions Applicable to Student Clubs, Groups, and Organizations: If a recognized student organization violates a policy or regulation of the University or local, state, or federal law, one or more of the following sanctions may be imposed:
 - Those sanctions listed in the University Code of Conduct, Article VIII (B) 1-6.
 - o Loss of selected rights and privileges for a specified period of time.
 - Deactivation, defined as loss of all privileges, including University recognition, for a specified period of time.
- Other Actions: In addition to or in place of the above sanctions, the University may assign any other sanctions as deemed reasonable and appropriate in light of the Respondent's conduct.

Employee Sanctions/Responsive Actions

Responsive actions for an employee who has engaged in harassment, discrimination, and/or retaliation include:

- Warning Verbal or Written
- Cease and Desist Orders
- Performance Improvement Plan/Management Process

- Enhanced Supervision, Observation, or Review
- Required Training or Education
- Probation
- Loss of Oversight or Supervisory Responsibility
- Demotion
- Reassignment or Transfer
- Delay of Tenure Track Program
- Assignment to New Supervisor
- Restriction of Stipends, Research, and/or Professional Development Resources
- Suspension with pay
- Suspension without pay
- Termination
- Other Actions: In addition to or in place of the above sanctions/responsive actions, the University reserves the right to alter the above recommended sanctions if there are compelling circumstances that justify greater or lesser sanctions.

The Title IX Coordinator may increase or decrease the recommended sanction guidelines listed above in the case of significant mitigating or aggravating factors. The Title IX Coordinator also may include additional Sanctions, educational or otherwise, in accordance with the general student conduct process.

The Title IX Coordinator is responsible for the implementation of remedies designed to restore or preserve equal access to the University of New Haven's education program or activity. While remedies might constitute supportive measures, they also might involve the Title IX Coordinator implementing Sanctions imposed by the Decisionmaker(s).

8. Withdrawal or Resignation While Charges Pending

<u>Students</u>: If a student has an allegation pending for violation of the Policy on Sexual Harassment and Misconduct, the University of New Haven may place a hold on a student's ability to graduate and/or to receive an official transcript/diploma.

Should a student decide not to participate in the resolution process, the process proceeds absent their participation to a reasonable resolution. Should a student Respondent permanently withdraw from the University, the resolution process ends, as the University no longer has disciplinary jurisdiction over the withdrawn student.

However, the University of New Haven will continue to address and remedy any systemic issues, variables that may have contributed to the alleged violation(s), and any ongoing effects of the alleged harassment, misconduct, and/or retaliation. The student who withdraws from or leaves the University while the process is pending may not return without resuming this Grievance process. Such exclusion

applies to all campuses, events, and activities of the University of New Haven. A hold will be placed on their ability to be readmitted pending a Hearing consistent with this policy.

If the student Respondent only withdraws or takes a leave for a specified period of time (e.g., one semester or term), the resolution process may continue remotely and that student will not be permitted to return to the University unless and until all sanctions have been satisfied.

<u>Employees</u>: Should an employee Respondent resign with unresolved allegations pending, the resolution process ends, as the University no longer has disciplinary jurisdiction over the resigned employee.

However, the University of New Haven will continue to address and remedy any systemic issues, variables that contributed to the alleged violation(s), and any ongoing effects of the alleged harassment or discrimination.

The employee who resigns with unresolved allegations pending is not eligible for rehire with the University or any campus of the University, and the records retained by the Title IX Coordinator will reflect that status.

9. Finality

The determination regarding responsibility becomes final either:

- If an appeal is filed, on the date that the University of New Haven provides the Parties with the written determination of the result of the appeal, or
- If an appeal is not filed, the date on which an appeal would no longer be considered timely.

H. Appeals

Both Parties may file a request for an appeal from a determination regarding responsibility, or from a dismissal of a Complaint or any allegations therein, either party may file a request for an appeal in writing to the Title IX Coordinator within five (5) days of the delivery of the notice of outcome on the following bases:

- a. Procedural irregularity that affected the outcome of the matter;
- b. New evidence that was not reasonably available at the time the determination regarding responsibility or dismissal was made, that could affect the outcome of the matter; and
- c. The Title IX Coordinator, Investigator(s), or Hearing Facilitator(s) had a conflict of interest or bias for or against Complainants or Respondents generally or the individual Complainant or Respondent that affected the outcome of the matter.

All requests for appeal(s) will be forwarded to the Appeal Decisionmaker(s) for consideration to determine if the request meets the grounds for appeal.

Upon receipt of an appeal, the University of New Haven will

- a. Notify the other Party in writing when the appeal is filed and implement appeal procedures equally for both Parties;
- b. Ensure that the Appeal Decisionmaker(s) for the appeal is not the same person as the Hearing Facilitator(s) that reached the original determination regarding responsibility or dismissal, the investigator(s), the Title IX Coordinator, or Assistant Title IX Coordinator;
- c. Ensure that the Appeal Decisionmaker(s) does not have a conflict of interest or bias for or against Complainants or Respondents generally or an individual Complainant or Respondent, and that the Appeal Decisionmaker(s) has received the appropriate and necessary training;
- d. Give both Parties a reasonable, equal opportunity to submit a written statement in support of, or challenging, the outcome.

The University of New Haven will provide a copy of the appeal to the non-appealing Party. The non-appealing Party may submit a written statement within five (5) days that may seek to affirm the initial decision and/or respond to the appeal statement.

The Title IX Coordinator has discretion to impose or withhold any supportive measures prior to the appeal deadline and prior to the resolution of any appeal.

The Appeal Decisionmaker(s) will issue a written decision describing the result of the appeal and the rationale for the result within a reasonably prompt time frame following receipt of all appeals materials. The appeal will determine whether the Decisionmaker(s) made an error on the grounds alleged in the appeal statement. The appeal is typically determined based on the existing record, but the Appeal Decisionmaker(s) will have discretion to convene a limited or full hearing if needed. The appeal decision will be given simultaneously to both Parties.

Any Sanctions imposed as a result of the hearing are stayed during the appeal process. Supportive measures may be reinstated, subject to the supportive measure procedures described above.

The University of New Haven may place holds on official transcripts, diplomas, graduations, and course registration pending the outcome of an appeal when the original Sanctions included separation. The Respondent may request a stay of these holds from the Title IX Coordinator two (2) business days of the notice of the Sanctions. The request will be evaluated by the Title IX Coordinator, whose determination is final.

The Appeal Decisionmaker(s)'s decision is final. No further appeals are permitted.

I. Long Term Remedies/Other Actions

Following the conclusion of the resolution process, and in addition to any sanctions implemented, the Title IX Coordinator may implement additional long-term remedies or actions that are intended to stop harassment, misconduct, and/or retaliation, remedy the effects, and prevent reoccurrence.

These remedies/actions may include, but are not limited to:

- Referral to counseling and health services
- Referral to the Employee Assistance Program
- Education to the individual and/or the community
- Permanent alteration of housing assignments
- Permanent alteration of work arrangements for employees
- Provision of University Police escorts
- Climate surveys
- Policy modification and/or training
- Provision of transportation accommodations
- Implementation of long-term contact limitations between the parties
- Implementation of adjustments to academic deadlines, course schedules, etc.

At the discretion of the Title IX Coordinator, certain long-term support measures may also be provided to the parties even if no policy violation is found.

When no policy violation is found, the Title IX Coordinator will address any remedies owed by the University to the Respondent to ensure no effective denial of educational access.

The University will maintain the privacy of any long-term remedies/actions/measures, provided privacy does not impair the University's ability to provide these services.

J. False Allegations and Evidence

Deliberately false and/or malicious accusations under this policy are a serious offense and will be subject to appropriate disciplinary action. Disciplinary action will not be imposed, however, for allegations that are made in good faith but ultimately are shown to be erroneous or do not result in a policy violation determination.

Additionally, witnesses and parties knowingly providing false evidence, tampering with or destroying evidence, or deliberately misleading an official conducting an investigation can be subject to discipline under University policy.

XI. Retaliation

Retaliation that relates to sexual harassment and occurs in an educational program or activity may violate Title IX. The University of New Haven prohibits members of the University of New Haven community from taking or attempting to take materially adverse action by intimidating, threatening, coercing, harassing, or discriminating against any individual for the purpose of interfering with any right or privilege secured by law or policy, or because the individual has made a report or Complaint, testified, assisted, or participated or refused to participate in any manner in an investigation, proceeding, or hearing under this policy and procedure.

Protected activity under this policy includes reporting an incident that may implicate this policy, participating in the Grievance Procedures, supporting a Complainant or Respondent, assisting in providing information relevant to an investigation, testifying as a witness, and/or acting in good faith to oppose conduct that constitutes a violation of this Policy.

Acts of alleged Retaliation should be reported immediately to the Title IX Coordinator and will be promptly investigated. The University of New Haven will take all appropriate and available steps to protect individuals who fear that they may be subjected to Retaliation.

Charging an individual with a code of conduct violation for making a materially false statement in bad faith in the course of Grievance Procedures under this Policy and procedure does not constitute Retaliation, provided that a determination regarding responsibility, alone, is not sufficient to conclude that any Party has made a materially false statement in bad faith.

XII. Revision of this Policy and Procedures

This Policy and procedures supersede any previous policy(ies) addressing harassment, sexual misconduct, discrimination, and/or retaliation under Title IX and will be reviewed and updated annually by the Title IX Coordinator. The University reserves the right to make changes to this document as necessary, and once those changes are posted online, they are deemed in effect.

During the resolution process, the Title IX Coordinator may make minor modifications to procedures that do not materially jeopardize the fairness owed to any party, such as to accommodate scheduling. The Title IX Coordinator may also vary procedures materially with notice (on the institutional website, with the appropriate effective date identified) upon determining that changes to law or regulation require policy or procedural alterations not reflected in this Policy and procedures.

If laws or regulations change – or court decisions alter – the requirements in a way that impacts this document, this document will be construed to comply with the most recent government regulations or holdings.

This document does not create legally enforceable protections or promises beyond the protections of the background state and federal laws which frame this policy, generally.

This Policy and procedures are effective September 10, 2025.

XIII. University of New Haven & Community Resources

On Campus Resources:

<u>Title IX Office:</u> The Title IX Office takes reports and complaints regarding sexual harassment, sexual violence, relationship violence, and stalking. The Title IX Coordinator coordinates the investigatory process. Can provide access to support services to Complainants and Respondents, and assist in making adjustments to academic, living, and work situations.

Robin Salters
Director, Organizational Engagement &
Service Delivery and Title IX Coordinator
Allingtown Hall, Room 112
203-932-7022
rsalters@newhaven.edu

Ophelie Rowe-Allen, Ed.D.
Vice President of Student Affairs and
Dean of Students
Deputy Title IX Coordinator
Bartels Hall
203-932-7176
orallen@newhaven.edu

Emily Guido
Assistant Title IX Coordinator / Director of Title IX Compliance
Peterson Library, Room 203
203-932-7264
eguido@newhaven.edu

Becky Clark
Deputy Director of Athletics for
Internal Operations and SWA /
Deputy Title IX Coordinator
Jeffry P. Hazel Athletics Center
203-932-7023
bclark@newhaven.edu

<u>University Police Department:</u> University Police Officers assist with the immediate medical needs of victims; work with the Title IX Office in investigation and adjudication of incidents, where appropriate; provide timely, campus-wide notification of incidents which pose an ongoing or continuing threat to the community; and assist in the preservation of evidence.

University Emergency Line: (203) 932-7070
University Routine Line: (203) 932-7014

<u>Office of Residential Life:</u> Office of Residential Life staff members are knowledgeable about campus and local services and can help victims of sexual misconduct get assistance and provide support to residents impacted by sexual misconduct.

Bixler Hall, Lower Level: (203) 932-7076

<u>Counseling and Psychological Services 'CAPS' (Confidential):</u> Counseling and Psychological Services staff provides students with support for the long-term effects of sexual assault. All contacts are confidential.

Charger Plaza, Building B: (203) 932-7333

<u>Dean of Students Office:</u> The Dean of Students Office works closely with the University's Title IX Office in the investigation and adjudication of incidents of sexual misconduct; provide support to student victims; and work towards maintaining a balance between addressing the needs of the victim and the needs of the campus community.

Bartels Campus Center, Top level (203) 932.7432

<u>Health Services (Confidential)</u>: Health Services is open to all currently enrolled University students without charge, Monday through Friday during the academic year. Staff members provide crisis and follow-up care for victims of sexual assault and make referrals to local hospitals for the administration of sexual assault evidence collection kits (also known as a rape kit). For immediate medical needs

during hours when Health Services is closed, students should seek emergency services, i.e., local hospital emergency rooms, University Police Department, local police, and local rape crisis center.

Sheffield Hall, Lower Level: (203) 932-7079

<u>Human Resources Office (Employees)</u>: The Human Resources staff members are knowledgeable about harassment prevention policies and practices. If you believe there is an issue or have encountered an issue of harassment or misconduct, please contact Human Resources.

Allingtown Hall, First Level (203) 932.7297

Off Campus/Other Resources:

<u>Rape Crisis Center of Milford (College Advocate)</u>: Provides support, advocacy, and crisis counseling for victims and relays the options available to victims of sexual assault and the campus community. The College Advocate also provides educational opportunities to the campus community. The advocate is available to be present with the victim from the time of disclosure of sexual assault.

24-hour Confidential Hotline (203) 878-1212 mrcc@newhaven.edu

<u>BHcare (Umbrella Center for Domestic Violence Services):</u> Provides crisis intervention that includes safety planning, risk assessment, and domestic violence education for victims of domestic violence. Educational presentations are available to the student community upon request.

24-hour Confidential Hotline Statewide: (888) 774-2900

Greater New Haven and Shoreline: (203) 789-8104

Lower Naugatuck Valley: (203) 736-9944

National & Statewide Resources:

Connecticut Coalition Against DomesticNational Suicide Prevention LifelineViolence:(800) 273-8255 English Hotline(888) 774-2900 – 24-hour hotline(800) 628-9454 Spanish Hotline

Connecticut Alliance to End Sexual Violence:

(888) 999-5545 English Hotline (888) 568-8332 Spanish Hotline https://endsexualviolence.org/

<u>Stalking Prevention, Awareness & Resources</u> <u>Center (SPARC)</u>

http://www.stalkingawareness.org/

<u>National Center for Victims of Crime</u>

(855) 484-2846
Online Chat Feature:
https://chat.victimsofcrime.org

Male Survivor: Critical Resources for Male

<u>Survivors of Sexual Trauma</u> www.malesurvivor.org Inquiries regarding discrimination, retaliation, or harassment may be made externally to:

Office for Civil Rights (OCR) U.S. Department of Education 400 Maryland Avenue, SW Washington, D.C. 20202-1100

Customer Service Hotline #: (800) 421-3481

Facsimile: (202) 453-6012 TDD#: (877) 521-2172 Email: OCR@ed.gov

Web: http://www.ed.gov/ocr

Office for Civil Rights (OCR)

Boston Office

U.S. Department of Education

8th Floor

5 Post Office Square Boston, MA 02109-3921

Customer Service Hotline #: (617) 289-0111

Facsimile: (617) 289-0150 Email: OCR.Boston@ed.gov

301-496-4000

TTY 301-402-9612

Email: GranteeHarassment@od.nih.gov

Connecticut Commission on Human Rights and

Opportunities (CHRO) 450 Columbus Boulevard Hartford, CT 06103-1835 Phone: 860-541-3400

Connecticut Toll Free: 1-800-477-5737

TDD: 860-541-3400

U.S. Department of Justice

Civil Rights Division

950 Pennsylvania Avenue, NW Washington, D.C. 20530-0001

Customer Service Hotline #: (202) 514-4609

TDD#: (202) 514-0716

National Institutes of Health (NIH)

9000 Rockville Pike

Bethesda, Maryland 20892



KNOW YOUR RIGHTS & OPTIONS

Resource for community members about the Sexual Misconduct Process

REPORTING OPTIONS & CONFIDENTIALITY

To make informed choices, all parties should be aware of confidentiality and privacy issues, as well as University mandatory reporting requirements.

CONFIDENTIAL REPORTING

If a Complainant would like the details of an incident to be kept confidential, the Complainant may speak with on-campus licensed professional counselors and staff at the University's Counseling & Psychological Services and on-campus Health Services providers and staff. Counseling & Psychological Services are available to help on an emergency basis. Their service is free of charge. Members of the clergy, chaplains, and off-campus rape crisis center staff can maintain confidentiality. Local resources such as crisis centers are also confidential and have no duty to report your information to the University of New Haven.

MANDATED REPORTING

All University of New Haven employees who are not designated above as confidential, are mandated reporters for all the details of which they are aware about an incident. All University of New Haven employees are expected to report actual or suspected sexual harassment and/or misconduct to appropriate officials immediately, though there are some limited exceptions. If a Complainant expects formal action in response to their allegations, reporting to any Mandated Reporter can connect them with resources to report crimes and/or policy violations, and these employees will immediately pass reports to the Title IX Coordinator (and/or police, if desired by the Complainant), who will take action when an incident is reported to them.

Incidents of sexual misconduct will be taken seriously when disclosed and/or reported to a Mandated Reporter. The Complainant may request confidentiality and/or that the Title IX coordinator provide them with supportive measures and resources without initiating a formal resolution process. The coordinator will weigh requests for confidentiality against the institutional need to address and remedy discrimination under Title IX. Generally, the University of New Haven will be able to respect the complainant's wishes, unless it believes there is a threat to the community based on the use of weapons, violence, pattern, predation, or threatening conduct by the person being accused.

In cases where a request for confidentiality is granted, the University will offer the Complainant available resources, supports, and remedies. Complainants are not obligated to pursue formal resolution in order to access the resources that are available. If the University decides that it is obligated to pursue a formal resolution based on the reported allegations, the Complainant is not obligated to participate in the resolution process. However, the ability of the University to enforce its policies or provide some remedies may be limited as a result of a decision not to participate.

If a Complainant has requested that a Mandated Reporter maintain the Complainant's anonymity, the Mandated Reporter may do so unless it is reasonable to believe that a compelling threat to health or safety could exist. The Mandated Reporter can consult with the Title IX Coordinator on that assessment without revealing personally identifiable information. Anonymous notice will be investigated by the University to the extent possible, both to assess the underlying allegations and to determine if supportive measures or remedies can be provided. However, anonymous notice typically limits the University's ability to investigate, respond, and provide remedies, depending on what information is shared.

ANONYMOUS REPORTING

Report via the <u>LiveSafe application</u> to the University Police Department. LiveSafe users can send in tip reports using the anonymous feature if they are not comfortable with disclosing their identity. University of New Haven Police will not be able to see who the report is coming from if this is activated. LiveSafe is a free mobile safety app made available by University of New Haven Public Safety. Information provided anonymously will be used in compliance with the Clery Act for data collection only.

AMNESTY FOR COMPLAINANTS & WITNESSES

The University of New Haven community encourages the reporting of misconduct and crimes by Complainants and witnesses. Sometimes, Complainants or witnesses are hesitant to report to University officials or participate in grievance processes because they fear that they themselves may be in violation of certain policies, such as underage drinking or use of illicit drugs at the time of the incident.

To encourage reporting and participation in the process, the University maintains a policy of offering parties and witnesses amnesty from minor policy violations – such as underage consumption of alcohol or the use of illicit drugs – related to the incident. Amnesty does not apply to more serious allegations such as physical abuse of another or illicit drug distribution. The decision not to offer amnesty is based on neither sex nor gender, but on the fact that collateral misconduct is typically addressed for all students within a progressive discipline system.

RIGHT TO PURSUE LEGAL AND/OR INSTITUTIONAL PROCESS

The University encourages all those who have experienced any form of sexual misconduct to report the incident promptly; to seek out all available campus and community resources, and pursue University conduct action and/or legal proceedings against the offender. If assistance is needed offcampus for an emergency situation, call local police by dialing 911. The University's Police Department may also utilized through the non-emergency line at (203) 932.7014.

TAKING IMMEDIATE ACTION & PRESERVING EVIDENCE

Steps to take following an experience of sexual misconduct.

INCIDENCES OF SEXUAL ASSAULT:

- 1. Get to a safe location as soon as you are able.
- 2. It is important to preserve physical evidence that may include tissue and fluid samples, evidence of violence, sheets, towels, clothing, etc. You may choose to avoid washing, bathing, urinating, etc., until after being examined at the local hospital (Yale New Haven Health).
 - Because evidence of a sexual assault can deteriorate quickly, you may choose to seek a medical exam as soon as possible.
 - a. Evidence collection should be completed within 120 hours of an assault, but fluids, hair samples, and DNA can be collected for a long time thereafter. Even if you have washed, evidence can often still be obtained. After 120 hours, it may still he helpful to have medical attention, even if you are not trying to obtain evidence of an assault. In the State of Connecticut, you may request an advocate that can accompany you during all medical exams, free of charge, from the hospital. Forensic evidence kits are available without charge in the State of CT, and may be completed within 120 hours of the incident. Hospital Emergency Departments are qualified to administer this kit.
 - b. If you decide not to seek medical attention in relation to a police investigation still seek medical attention from University Health Services, a gynecologist or a local hospital. Female victims may talk to a healthcare professional about the "morning after pill" if the sexual contact may result in unwanted pregnancy. This type of medication is most effective within 72 hours of the incident. All persons may also want to be screened for sexually transmitted infections.
 - c. If you wish to request a toxicology kit, to screen for all drugs in your system, you may do so at the Hospital Emergency Department. Toxicology kits are more effectively immediately after the incident, and can be administered within 72 hours of the incident.
 - d. If you are still wearing any clothes worn during the assault, wear them to the hospital, but bring a change of clothes, as the hospital will keep the clothes you are wearing as evidence. If you have changed clothes, bring the ones you were wearing during the assault to the hospital in a clean paper (not plastic) bag or a wrapped in a clean sheet. Leave sheets/towels at the scene of the assault. Police will collect them. Typically, police will be called to the hospital to take custody of the rape kit, but it is up to you whether you wish to speak with them or file a criminal complaint.
- 3. If you have physical injuries, photograph or have them photographed, with a date stamp on the photo. Record the names of any witnesses, and their contact information. This information may be helpful to the proof of a crime, to obtain an order of protection or to offer proof of a campus policy violation. Try to memorize details (physical description, names, license plate number, car description) or even better, write notes to remind you of details, if you have time and the ability to do so.
- 4. Consider telling someone you trust about the incident or one of the community resources listed in this brochure. Some University officials are required to report information regarding sexual violence to the Title IX Coordinator, so you may refer to confidential resources as well.

INCIDENCES OF DATING/DOMESTIC VIOLENCE AND/OR STALKING:

- 1. Get to a safe location as soon as you are able.
- 2. You are encouraged to record all related behaviors, such as harassing telephone calls, electronic contacts, letters/notes, acts of vandalism, and threats communicated through third parties. Record the following: date, time, and location of incident; description of what happened; and names of any witnesses. If possible, take pictures of evidence (e.g., bodily injuries, damaged items). Recording such information can help document behavior for protection order applications or criminal prosecution. As this information may be introduced as evidence or inadvertently shared with the perpetrator, do not include any information you do not want the offender to see.
- 3. If you are being or were harmed, you are encouraged to receive medical attention as quickly as possible. Treat all threats, direct or indirect, as legitimate and report them to one of the resources provided in this brochure.
- 4. Consider telling someone you trust about the incident or one of the community resources listed in this brochure. Some University officials are required to report information regarding sexual violence to the Title IX Coordinator, so you may refer to confidential resources as well.
- 5. If you obtain external orders of protection (e.g. restraining orders, injunctions, protection from abuse), please notify the University Police Department or Title IX Coordinator so that those orders can be observed on campus. If you need assistance with University-related concerns, such as no-contact orders or other protective measure, contact the Title IX Coordinator.

INCIDENCES OF SEXUAL HARASSMENT OR EXPLOITATION:

- 1. Document the harassment. Photograph and keep copies of any documentary evidence of harassment. Keep a journal with detailed information on instances of sexual harassment. Note the dates, conversation, frequency of offensive encounters, etc.
- 2. Tell other people, including personal friends and co-workers, if possible.
- 3. If the harasser is a University student or employee, immediately report the harassment to the Title IX Coordinator,

SEXUAL HARASSMENT & MISCONDUCT POLICY

Refer to the entire policy online at www.newhaven.edu/titleix

The University of New Haven is committed to providing a workplace and educational environment, as well as other benefits, programs, and activities, that are free from discrimination, harassment, and retaliation. To ensure compliance with federal and state civil rights laws and regulations, and to affirm its commitment to promoting the goals of fairness and equity in all aspects of the educational program or activity, the University has developed internal policies and procedures that provide a prompt, fair, and impartial process for those involved in an allegation of sexual harassment and/or misconduct, and for allegations of retaliation. The University of New Haven values and upholds the equal dignity of all members of its community and strives to balance the rights of the parties in the grievance process during what is often a difficult time for all those involved.

A number of federal laws and regulations, including Title IX, the Violence Against Women Act, and the Clery Act mandate how institutions respond to such allegations. Many types of sexual misconduct also constitute violations of State of Connecticut law.

Members of the campus community, guests, and visitors have a right to be free from sexual misconduct. All members of the community must conduct themselves in a way that does not infringe upon the rights of others. The University's Sexual Harassment & Misconduct policy is intended to define expectations for appropriate conduct and outline resolution processes to address conduct that does not meet these expectations. When individuals accused of sexual misconduct are found to be in violation of the policy, the University will impose serious sanctions.

Additional information about campus crime, state laws, and disclosures related to sexual misconduct can be found online in the campus Annual Security Report. Access it here: www.newhaven.edu/clery.

JURISDICTION OF THE UNIVERSITY OF NEW HAVEN

This policy applies to the education program and activities of the University of New Haven, to conduct that takes place on the campus or on property owned or controlled by the University, at University-sponsored events, or in buildings owned or controlled by University's recognized student organizations. The Respondent must be a member of University community in order for its policies to apply.

This policy can also be applicable to the effects of off-campus misconduct that effectively deprive someone of access to University's educational program. The University may also extend jurisdiction to off-campus and/or to online conduct when the Title IX Coordinator determines that the conduct affects a substantial University interest. Please refer to the full Sexual Harassment & Misconduct Policy for more information on University Jurisdiction.

CONSENT

Active, knowing, voluntary, and clear permission through word or action to engage in a mutually agreed upon sexual activity or contact

Individuals may experience the same interactions differently. Therefore it is the responsibility of each party to determine that the other has provided ongoing, clear consent before and while engaging in sexual activity or contact.

A person may withdraw consent at any time during sexual activity or contact through words or actions. If that happens, the other party must immediately cease the activity or contact, meaning as soon as physically possible.

Consent to some sexual contact (such as kissing or fondling) cannot be presumed to be consent for other sexual activity (such as intercourse). A current or previous intimate relationship is not sufficient to constitute consent. Previous consent to sexual activity does not imply consent to sexual activity in the future.

To legally give consent in the State of Connecticut, individuals must be at least 16 years old.

FORCE: Force is the use of physical violence and/or physical imposition to gain sexual access. Force also includes threats, intimidation (implied threats), and coercion that is intended to overcome resistance or produce consent.

COERCION: Coercion is the unreasonable pressure for sexual activity. Coercive conduct differs from seductive conduct based on factors such as the type and/or extent of the pressure used to obtain consent. When someone makes clear that they do not want to engage in certain sexual activity, that they want to stop, or that they do not want to go past a certain point of sexual interaction, continued pressure beyond that point can be coercive.

INCAPACITATION: A person cannot consent if they are unable to understand what is happening or is disoriented, helpless, asleep, or unconscious, for any reason, including by alcohol or other drugs.

Incapacitation occurs when someone cannot make rational, reasonable decisions because they lack the capacity to give knowing and informed consent (e.g., to understand the "who, what, when, where, why, or how" of their sexual interaction). Incapacitation is determined through consideration of all relevant indicators of an individual's state and is not synonymous with intoxication, impairment, and/or being drunk. This definition also covers a person whose incapacity results from a temporary or permanent physical or mental health condition, involuntary physical restraint, and/or the consumption of incapacitating drugs.

SEXUAL HARASSMENT & MISCONDUCT VIOLATIONS

The following conduct is prohibited by the Sexual Harassment & Misconduct Policy.

Sexual Harassment, as an umbrella category, includes offenses of sexual harassment that are so severe and pervasive that it effectively deprives a person equal access to the University's programs or activities.

SEXUAL HARASSMENT, QUID PRO QUO

Quid Pro Quo Sexual Harassment occurs when as an employee of the University conditions the provision of an aid, benefit, or service of the University on an individual's participation in unwelcome sexual conduct.

SEXUAL HARASSMENT, SEVERE & PERVASIVE

Unwelcome conduct, determined by a reasonable person, to be so severe, and pervasive, and objectively offensive, that it effectively denies a person equal access to the University's education program or activity.

SEXUAL ASSAULT, OFFENSES

Any sexual act directed against another person without the consent of the Complainant, including instances in which the Complainant is incapable of giving consent. These include:

- a. Rape is defined as penetration no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person without the consent of the Complainant.
- b. Sodomy is defined as the oral or anal sexual intercourse with another person, without consent, including instances in which the Complainant is incapable of giving consent because of age or because of temporary or permanent mental or physical incapacity.
- c. Sexual Assault with an Object is defined as the use of an object or instrument to penetrate, however slightly, the genital or anal opening of the body of another person without consent, including instances in which the Complainant is incapable of giving consent because of age or because of temporary or permanent mental or physical incapacity.
- d. Fondling is defined as the touching of private body parts of another person (buttocks, groin, breasts) for the purpose of sexual gratification without consent, including instances in which the Complainant is incapable of giving consent because of age or because of temporary or permanent mental or physical incapacity.
- e. Incest is defined as sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by the State of Connecticut law.
- f. Statutory Rape is defined as non-forcible sexual intercourse with a person who is under the statutory age of consent of 16, provided that the person younger person is at least 13, or with a minor under age 13 if the actor is more than two years old than the minor.

(For further information on sexual assault crimes under CT Law, see CT General Statues, Sections 53a-65 through 53a-73a).

STALKING

Engaging in a course of conduct, directed at a specific person, that would cause a reasonable person to fear for the person's safety, or the safety of others, or to suffer substantial emotional distress.

SEXUAL EXPLOITATION

Defined as taking non-consensual or abusive sexual advantage of another for the actor's own benefit or for the benefit of anyone other than the person being exploited, provided that the conduct does not otherwise constitute sexual harassment as defined in the Sexual Harassment & Misconduct policy. Sexual exploitation includes, but is not limited to:

- Prostituting another person;
- Sexual voveurism;
- Invasion of sexual privacy;
- Causing or attempting to cause the incapacitation of another person for the purpose of compromising that person's ability to give consent to sexual activity, or for the purpose of making that person vulnerable to non-consensual sexual activity;
- Taking pictures, video, or audio recording another in a sexual act or in any other sexually-related when there is a reasonable expectation of privacy during the activity, without the consent of all involved, or exceeding the boundaries of consent; and/or,
- Engaging in sexual activity with another person while knowingly infected with human immunodeficiency virus (HIV) or a sexually transmitted infection (STI) without informing the other person of the infection.

DATING VIOLENCE

Defined as violence, committed by a person, who is in or has been in a social relationship of a romantic or intimate nature with the Complainant. Dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse.

DOMESTIC VIOLENCE

Defined as violence, committed by a current of former spouse or intimate partner of the Complainant, by a person with whom the Complainant shares a child in common, or by a person cohabitating with or has cohabited with the Complainant as a spouse or intimate partner, or by a person similarly situated to a spouse of the Complainant under domestic or family violence laws in the State of CT, or by any other person against an adult or youth who is protected from that persona's acts under the domestic or family violence laws of the State of CT.

RETALIATION

The University prohibits any members of the University Community from taking or attempting to take materially adverse action by intimidating, threatening, coercing, harassing, or discriminating against any individual for the purpose of interfering with any right or privilege secured by law or policy, or because the individual has made a report or complaint, testified, assisted, or participated or refused to participate in any manner in an Investigation, proceeding, or hearing under the Sexual Harassment & Misconduct Policy.

YOUR RIGHTS

The University strives to provide members of the campus community with fair and equitable resolution processes that include both formal and informal options.

REPORTING

- The right to be informed by University officials of options to notify proper law enforcement authorities, including on-campus and local police. The option to be assisted by University authorities in notifying such authorities, if the party so chooses. This also includes the right not to be pressured to report, as well.
- The right to have allegations of violations of this Policy responded to promptly and with sensitivity by University law enforcement and/or
 other University officials (Investigators, Title IX Coordinators, etc.) who have received relevant annual training.
- The right to an equitable investigation and resolution of all credible allegations of prohibited harassment or misconduct made in good faith to University of New Haven officials. The right to preservation of privacy, to the extent possible and permitted by law.
- The right to be treated with respect by University of New Haven officials. The right to petition that any University representative in the process be recused on the basis of disqualifying bias and/or conflict of interest.
- The right to regular updates on the status of the investigation and/or resolution.
- The right to be promptly informed in a written Notice of Outcome letter of any finding(s) and sanction(s) of the resolution process, delivered simultaneously (without undue delay) to the parties.
- The right to be informed in writing of when a decision by the University is considered final and any changes to the sanction(s) that occur before the decision is finalized. The right to be informed of the opportunity to appeal the finding(s) and sanction(s) of the resolution process, and the procedures for doing so in accordance with University Policy.

FAIRNESS

- All members of the campus community have the right to have reported incidents addressed according to the published University procedures, without material deviation.
- All parties have equal opportunities to have an Advisor of their choosing or offered by the institution present throughout all resolution
 proceedings (including intake, interviews, hearings, etc.). This person can be an advisor, advocate, attorney, family member, friend, faculty
 member, etc.
- The right to fair opportunity to provide the Investigator Team with their account of the alleged misconduct and have that account be on the record.
- All parties have the right to written notice of the outcome of sexual misconduct resolution proceedings.
- Reporting parties, their supporters, and witnesses have a right to be free from retaliation.

SUPPORT

- The right to be informed of available supportive measures, such as counseling; advocacy; health care; legal, student financial aid, visa, and immigration assistance; or other services, both on campus and in the community.
- The right to be informed of available assistance in changing academic, living, and/or working situations after an alleged incident of harassment, misconduct, and/or retaliation, if such changes are reasonably available. No formal report, or investigation, either campus or criminal, needs to occur before these options are available.
- All parties involved in sexual misconduct allegations will receive the information and assistance needed to effectively participate in all proceedings.
- The right to a University-implemented No Contact Order [or a No Trespass Order against a non-affiliated third party] when a person has engaged in or threatens to engage in stalking, threatening, harassing, or other improper conduct that presents a danger to the welfare of the party or others.

Refer to the University's Sexual Harassment & Misconduct Policy, Appendix A: Statement of the Rights of Parties.

PRIVACY

Every effort is made by the University to preserve the privacy of reports. The University of New Haven will not share the identity of any individual who has made a report or complaint of sexual harassment, misconduct, or retaliation; any Complainant, any individual who has been reported to be the perpetrator of sex discrimination, any Respondent, or any witness, except as permitted by the Family Educational Rights and Privacy Act (FERPA), 20 U.S.C. 1232g; FERPA regulations, 34 CFR part 99; or as required by law; or to carry out the purposes of 34 CFR Part 106, including the conducting of any investigation, hearing, or grievance proceeding arising under these policies and procedures.

The University of New Haven reserves the right to determine which University officials have a legitimate educational interest in being informed about incidents that fall within this policy, pursuant to the Family Educational Rights and Privacy Act (FERPA).

SUPPORTIVE MEASURES

The University will offer and implement appropriate and reasonable supportive measures to the parties upon notice of alleged sexual harassment, misconduct, and/or retaliation.

Supportive measures are non-disciplinary, non-punitive individualized services offered as appropriate, as reasonably available, and without fee or charge to the parties to restore or preserve access to the University's education program or activity, including measures designed to protect the safety of all parties or the University's educational environment, and/or deter misconduct.

University of New Haven will act to ensure as minimal an academic/occupational impact on the parties as possible. The University will implement measures in a way that does not unreasonably burden the other party.

Supportive measures may include, but are not limited to:

- Referral to counseling, medical, and/or other healthcare services;
- Referral to the Employee Assistance Program;
- Referral to community-based service providers;
- Visa and immigration assistance;
- Student financial aid counseling;
- Education to the institutional community or community subgroup(s);
- Altering campus housing assignments;
- Altering work arrangements for employees or student-employees;
- · Safety planning;
- Providing campus safety escorts;
- Providing transportation accommodations;

- Implementing contact limitations (no contact orders) between the parties:
- Academic support, extensions of deadlines, or other program-related/ course adjustments;
- Implementing Trespass, Persona Non Grata (PNG), or Be-On-the-Lookout (BOLO) orders;
- Timely warnings;
- Class schedule modifications, withdrawals, or leaves of absence;
- Increased security and monitoring of certain areas of the campus; and/or,
- Any other actions deemed appropriate by the Title IX Coordinator.

Violations of no contact orders will be referred to appropriate student or employee conduct processes for enforcement. Orders of protection, no contact orders, restrictive or similar orders issued by a criminal, civil, or tribal court will be administered by the University as written.

TIME LIMITS ON REPORTING

There is no time limitation on providing notice/complaints to the Title IX Coordinator. However, if the Respondent is no longer subject to the University's jurisdiction and/or significant time has passed, the ability to investigate, respond, and provide remedies may be more limited or impossible.

Acting on notice/complaints significantly impacted by the passage of time (including, but not limited to, the rescission or revision of policy) is at the discretion of the Title IX Coordinator, who may document allegations for future reference, offer supportive measures and/or remedies, and/or engage in informal or formal action, as appropriate.

When notice/complaint is affected by significant time delay, the University will typically apply the policy in place at the time of the alleged misconduct and the procedures in place at the time of notice/complaint.

PREVENTION & AWARENESS

The University offers bystander intervention programming to all new students in an effort to ensure that each member of the campus community is invested in creating a safe campus environment for themselves and others. Program participants are instructed on safe options for preventing harm and intervening when a risk of sexual misconduct exists. Ongoing awareness and prevention campaigns are provided throughout the school year to students, faculty, and staff.

A committee of student, faculty, and staff members, known as the Campus Resource Team, meets regularly to address the community climate as it relates to sexual misconduct. The group focuses on ensuring campus compliance with relevant policies and laws, prevention, and education.

NOTICE OF NONDISCRIMINATION

The University of New Haven adheres to all federal and state civil rights laws and regulations prohibiting discrimination in private institutions of higher education.

The University of New Haven is committed to equal access in employment, student, or applicant for admission, regardless of race, color, disability, religion, gender, gender identity or expression, national origin, ancestry, age, sexual orientation, marital status, genetic information, veteran status, or any other criteria protected by law. Benefits, privileges, and opportunities offered by the University are available to all employees on a nondiscriminatory basis in accordance with federal and state statutes.

The University's Sexual Harassment & Misconduct policy covers nondiscrimination in both employment and access to educational opportunities. Therefore, any member of the University of New Haven community whose acts deny, deprive, or limit the educational or employment access, benefits, and/or opportunities of any member of the University community, guest, or visitor on the basis of that person's actual or perceived membership in the protected classes listed above is in violation of the University's policy on nondiscrimination.

KEY CONTACTS & ADDITIONAL RESOURCES

TITLE IX COORDINATOR

Robin Salters
Allingown Hall, Lower Level
300 Boston Post Rd
West Haven, CT 06516
(203) 932.7022

Email: rsalters@newhaven.edu Web: www.newhaven.edu/titleix

Assistant TITLE IX COORDINATOR

Emily Guido
Peterson Library, Room 203
300 Boston Post Road
West Haven, CT 06516
(203) 932.7264

Email: eguido@newhaven.edu Web: www.newhaven.edu/titleix

ANONYMOUS REPORTING

 LiveSafe Application: Users can send in tip reports using the anonymous feature. LiveSafe is a free mobile safety app made available by University of New Haven Public Safety. www.newhaven.edu/student-life/public-safety/livesafe/

UNIVERSITY CONFIDENTIAL SERVICES

Counseling & Psychological Services
 Make an appointment or contact the
 On-Call Psychologist after business hours
 (203) 932.7333

Charger Plaza, Building B

Health Services

Make an appointment or speak with a staff member about available services (203) 932.7079 Lower Level, Sheffield Hall

UNIVERSITY SUPPORT SERVICES

• University Police Department (203) 932.7014 Non-Emergency (203) 932.7070 Emergency Lower Level, Campus Bookstore

• Office of the Dean of Students (203) 932.7432 Second Level, Bartels Hall

• Office of Residential Life (203) 932.7076 Lower Level, Bixler Hall

 Accessibility Resource Center (203) 932.7332
 Lower Level, Sheffield Hall

 Office of Human Resources—Employee Assistance (203) 932.7240
 Allingtown Hall

ONLINE REPORTING

 Report It, Don't Ignore It! Forms should be completed with as much information as available to the reporter.
 www.newhaven.edu/reportit/

LOCAL SUPPORT SERVICES

Rape Crisis Center of Milford
 70 West River Street
 Milford, CT 06460
 (203) 878.1212 24/7 Hotline
 (203) 874.8712 Office
 https://www.rapecrisiscenterofmilford.org/

 College Advocate of Rape Crisis Center of Milford Contact for appointments and drop-in hours Email: Mrcc@newhaven.edu

 CT Alliance to End Sexual Violence (888) 999.5545 24/7 English Hotline (888) 568.8332 24/7 Spanish Hotline https://endsexualviolencect.org/

CT Coalition Against Domestic Violence
 CT Safe Connect—Live Chat, Email, or Text
 (888) 774.2900 24/7 English/Spanish Hotline
 www.ctsafeconnect.org
 www.ctcadv.org

 BHcare—Umbrella Center for Domestic Violence Services 435 East Main Street Ansonia, CT 06401 (888) 774.2900 24/7 Hotline (203) 736.2601 Office

https://bhcare.org

 National Suicide Prevention Lifeline (800) 273.8255 24/7 English Hotline (888) 628.9454 24/7 Spanish Hotline www.suicidepreventionlifeline.org

Trans Lifeline
 (877) 565.8860 24/7 Hotline

 https://www.translifeline.org/

• The Network/La Red (800) 832.1901 24/7 Hotline http://tnlr.org/en/